

# TEST REPORT

Report No.: BCTC2409819684S

Applicant: Shenzhen Huafurui Technology Co., Ltd.

Product Name: Smart watch

Test Model: X2

Tested Date: 2024-08-30 to 2024-09-06

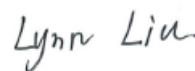
Issued Date: 2024-10-09

**Shenzhen BCTC Testing Co., Ltd.**



| <b>TEST REPORT</b><br><b>IEC 62368-1</b><br><b>Audio/video, information and communication technology equipment</b><br><b>Part 1: Safety requirements</b>  |  |
|---|--|
| <b>Report Number</b> .....  | BCTC2409819684S  |
| <b>Date of issue</b> .....  | 2024-10-09   |
| <b>Total number of pages</b> .....  | 80   |
| <b>Testing Laboratory</b> .....   | <b>Shenzhen BCTC Testing Co., Ltd.</b>   |
| <b>Address</b> .....  | 1-2/F., Building B, Pengzhou Industrial Park, No.158, Fuyuan<br>1st Road, Zhancheng, Fuhai Subdistrict, Bao'an District, Shenzhen,<br>Guangdong, China                           |
| <b>Applicant's name</b> .....   | <b>Shenzhen Huafurui Technology Co., Ltd.</b>  |
| <b>Address</b> .....  | Unit 601-03, 6/F, Block A, Building 1, Ganfeng Technology Building, No.<br>993 Jiaxian Road, Xiangjiaotang Community, Bantian Street, Longgang<br>District, Shenzhen, P.R. China |
| <b>Test specification:</b>  |  |
| <b>Standard</b> .....   | IEC 62368-1:2018<br>EN IEC 62368-1:2020+A11:2020   |
| <b>Test procedure</b> .....   | Test report  |
| <b>Non-standard test method</b> .....   | N/A  |
| <b>TRF template used</b> .....  | IECEE OD-2020-F1:2020, Ed.1.3  |
| <b>Test Report Form No.</b> .....   | IEC62368_1E  |
| <b>Test Report Form(s) Originator</b> ..  | UL(US)   |
| <b>Master TRF</b> .....   | Dated 2021-02-04   |
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|   |  |
| Test Item description .....   | Smart watch  |
| Trade Mark .....  | CUBOT  |
| Manufacturer .....  | Same as Applicant  |
| Model/Type reference .....  | X2<br>X2 PLUS, X2 PRO, BT105, BT108, GT 1  |
| Ratings .....   | Input: 5V ---0.5A  |

Battery: 3.8V, 480mAh, 1.824Wh

**Testing procedure and testing location:****Testing Laboratory .....** : **Shenzhen BCTC Testing Co., Ltd.****Address .....** : 1-2/F., Building B, Pengzhou Industrial Park, No.158,  
Fuyuan 1st Road, Zhancheng, Fuhai Subdistrict, Bao'an  
District, Shenzhen, Guangdong, China**Tested by (name, function, signature)...** : Lynn Liu  
(Project Handler)**Approved by (name, function, signature):** Seven Zheng  
(Reviewer)

**List of Attachments (including a total number of pages in each attachment):**

- Attachment I: 22 pages for EUROPEAN GROUP DIFFERENCES AND NATIONAL DIFFERENCES
- Attachment II: 7 pages for Photo documentation.

**Summary of testing:**
**Tests performed (name of test and test clause):**



- IEC 62368-1:2018
  - EN IEC 62368-1:2020+A11:2020
- The submitted samples were found to comply with the requirements of above specification.

**Testing location:**

Shenzhen BCTC Testing Co., Ltd.  
1-2/F., Building B, Pengzhou Industrial Park, No.158,  
Fuyuan 1st Road, Zhancheng, Fuhai Subdistrict, Bao'an  
District, Shenzhen, Guangdong, China

**Copy of marking plate:**

The artwork below may be only a draft. The use of certification marks on a product must be authorized by the respective NCBs that own these marks.

|  |
|--|
| CUBOT  |
| <p>Smart watch<br/>Model: X2<br/>Input: 5V---0.5A<br/>Manufacturer: Shenzhen Huafurui Technology Co., Ltd.<br/>Address: Unit 601-03, 6/F, Block A, Building 1, Ganfeng Technology Building, No.<br/>993 Jiaxian Road, Xiangjiaotang Community, Bantian Street, Longgang District,<br/>Shenzhen, P.R. China</p> <div style="text-align: right; padding-right: 20px;">  <br/>             Made in China         </div> <p>Importer: XXXXXX<br/>Address: XXXXXX</p> |

**Notes:**

- 1.The above markings are the minimum requirements required by the safety lab. For the final production samples, the additional markings which do not give rise to misunderstanding may be added.
- 2.The height dimension of CE mark should not less than 5mm, the height dimension of WEEE symbol should not less than 7mm.
3. According to the EU directives which have been aligned with EU NLF (new legislative framework), both of manufacturer and importer's name and address shall be affixed on the product or, where that is not possible, on its packaging or in a document accompany-ing the product before the product is placed on the EU market.
4. The marking plates of the other models in this report are identical with above except model name.

|   |  |
|---|--|
| <b>TEST ITEM PARTICULARS:</b>                               |  |
| <b>Product group</b> .....                                  | <input checked="" type="checkbox"/> end product <input type="checkbox"/> built-in component  |
| <b>Classification of use by</b> .....                       | <input checked="" type="checkbox"/> Ordinary person <input checked="" type="checkbox"/> Children likely present<br><input type="checkbox"/> Instructed person<br><input type="checkbox"/> Skilled person   |
| <b>Supply connection</b> .....                              | <input type="checkbox"/> AC mains <input type="checkbox"/> DC mains<br><input checked="" type="checkbox"/> not mains connected: <input checked="" type="checkbox"/> ES1 <input type="checkbox"/> ES2 <input type="checkbox"/> ES3  |
| <b>Supply tolerance</b> .....                               | <input type="checkbox"/> +10%/-10%<br><input type="checkbox"/> +20%/-15%<br><input type="checkbox"/> +      %/ -      %<br><input checked="" type="checkbox"/> None  |
| <b>Supply connection – type</b> .....                       | <input type="checkbox"/> pluggable equipment type A -<br><input type="checkbox"/> non-detachable supply cord<br><input type="checkbox"/> appliance coupler<br><input type="checkbox"/> direct plug-in<br><input type="checkbox"/> pluggable equipment type B -<br><input type="checkbox"/> non-detachable supply cord<br><input type="checkbox"/> appliance coupler<br><input type="checkbox"/> permanent connection<br><input type="checkbox"/> mating connector <input checked="" type="checkbox"/> other: Not directly connected to the mains |
| <b>Considered current rating of protective device</b> ..... | <input type="checkbox"/> Others:<br>Location: <input type="checkbox"/> building <input type="checkbox"/> equipment <input checked="" type="checkbox"/> N/A   |
| <b>Equipment mobility</b> .....                             | <input type="checkbox"/> movable <input type="checkbox"/> hand-held <input checked="" type="checkbox"/> transportable<br><input type="checkbox"/> direct plug-in <input type="checkbox"/> stationary <input type="checkbox"/> for building-in<br><input type="checkbox"/> wall/ceiling-mounted <input type="checkbox"/> SRME/rack-mounted<br><input type="checkbox"/> other:   |
| <b>Overvoltage category (OVC)</b> .....                     | <input type="checkbox"/> OVC I <input type="checkbox"/> OVC II <input type="checkbox"/> OVC III<br><input type="checkbox"/> OVC IV <input checked="" type="checkbox"/> other: N/A  |
| <b>Class of equipment</b> .....                             | <input type="checkbox"/> Class I <input type="checkbox"/> Class II <input checked="" type="checkbox"/> Class III<br><input type="checkbox"/> Not classified <input type="checkbox"/>   |
| <b>Special installation location</b> .....                  | <input checked="" type="checkbox"/> N/A <input type="checkbox"/> restricted access area<br><input type="checkbox"/> outdoor location <input type="checkbox"/>  |
| <b>Pollution degree (PD)</b> .....                          | <input type="checkbox"/> PD 1 <input checked="" type="checkbox"/> PD 2 <input type="checkbox"/> PD 3   |
| <b>Manufacturer's specified T<sub>ma</sub></b> .....        | 25°C <input type="checkbox"/> Outdoor: minimum      °C   |
| <b>IP protection class</b> .....                            | <input checked="" type="checkbox"/> IPX0 <input type="checkbox"/> IP_____  |
| <b>Power systems</b> .....                                  | <input type="checkbox"/> TN <input type="checkbox"/> TT <input type="checkbox"/> IT -      V <sub>L-L</sub> <input checked="" type="checkbox"/> not AC mains   |
| <b>Altitude during operation (m)</b> .....                  | <input checked="" type="checkbox"/> 2000 m or less <input type="checkbox"/> m  |
| <b>Altitude of test laboratory (m)</b> .....                | <input checked="" type="checkbox"/> 2000 m or less <input type="checkbox"/> m  |
| <b>Mass of equipment (kg)</b> .....                         | Approx. 0.078kg  |

|   |  |
|---|--|
| <b>Possible test case verdicts:</b>   |  |
| - test case does not apply to the test object.....:   | N/A  |
| - test object does meet the requirement.....:   | P (Pass)   |
| - test object does not meet the requirement.....:   | F (Fail)   |
| <b>Testing:</b>   |  |
| Date of receipt of test item .....  | 2024-08-30   |
| Date (s) of performance of tests .....  | 2024-08-30 to 2024-09-06   |
| <b>GENERAL REMARKS:</b>   |  |
| <p>"(See Enclosure #)" refers to additional information appended to the report.<br/>         "(See appended table)" refers to a table appended to the report.</p> <p>Throughout this report a <input type="checkbox"/> comma / <input checked="" type="checkbox"/> point is used as the decimal separator.</p>  |  |
| <b>Manufacturer's Declaration per sub-clause 4.2.5 of IEC60335-1:</b>   |  |
| The application for obtaining a CB Test Certificate includes more than one factory location and a declaration from the Manufacturer stating that the sample(s) submitted for evaluation is (are) representative of the products from each factory has been provided .....:  | <input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> Not applicable |
| <b>When differences exist; they shall be identified in the General product information section.</b>   |  |
| Name and address of factory (ies) .....: Same as Applicant  |  |
| <b>GENERAL PRODUCT INFORMATION:</b>   |  |
| <b>Product Description</b><br>1. The apparatus is a Class III Smart watch used for audio video, information and communication technology equipment.<br>2. The apparatus is power by external DC power supply which was classified as ES1/PS2.<br>3. The specified max. ambient temperature is 25°C<br>4. All tests' data were reference the original test report Ref. No.: BCTC2408728733S. |  |
| <b>Model Differences –</b><br>– All models are identical except for the model names .<br>– All tests were conducted at the model of X2.   |  |
| <b>Additional application considerations – (Considerations used to test a component or sub-assembly)</b><br>– N/A   |  |



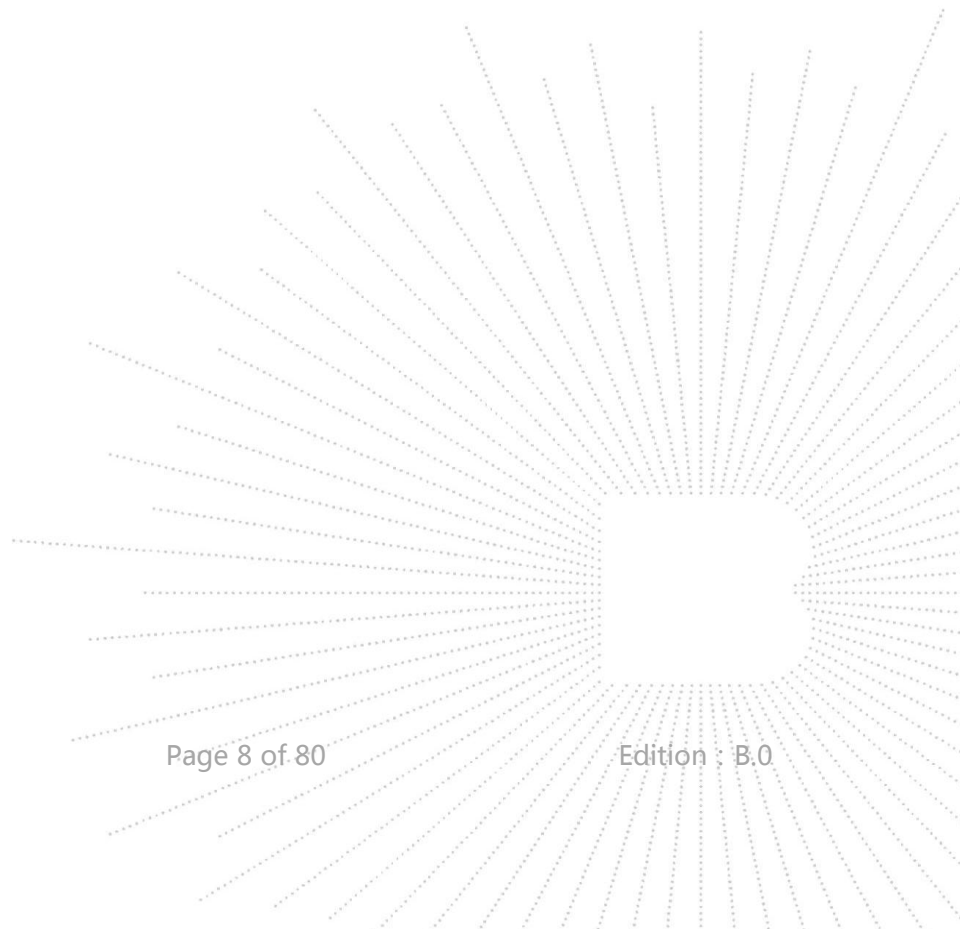
| OVERVIEW OF ENERGY SOURCES AND SAFEGUARDS  |                                       |  |   |                   |
|--|---------------------------------------|--|---|-------------------|
| Clause   | Possible Hazard                       |  |   |                   |
| 5  | Electrically-caused injury            |  |   |                   |
| Class and Energy Source<br>(e.g. ES3: Primary circuit)   | Body Part<br>(e.g. Ordinary)          | Safeguards   |   |                   |
|  |                                       | B  | S   | R                 |
| ES1: All Internal circuits   | Ordinary                              | Enclosure  | N/A   | N/A               |
| ES1: Fully charged battery   | Ordinary                              | N/A  | N/A   | N/A               |
| 6  | Electrically-caused fire              |  |   |                   |
| Class and Energy Source<br>(e.g. PS2: 100 Watt circuit)  | Material part<br>(e.g. Printed board) | Safeguards   |   |                   |
|  |                                       | B  | 1 <sup>st</sup> S                                 | 2 <sup>nd</sup> S |
| PS2: All Internal circuits except Battery output   | Enclosure, PCB                        | No parts exceeding 90% of its spontaneous Ignition temperature | 1. Plastic enclosure V-0 used.<br>2. PCB V-0 used | N/A               |
| PS1: Battery output  | N/A                                   | N/A  | N/A   | N/A               |
| 7  | Injury caused by hazardous substances |  |   |                   |
| Class and Energy Source<br>(e.g. Ozone)  | Body Part<br>(e.g., Skilled)          | Safeguards   |   |                   |
|  |                                       | B  | S   | R                 |
| Electrolyte  | Ordinary                              | Complied with annex M  | N/A   | N/A               |
| 8  | Mechanically-caused injury            |  |   |                   |
| Class and Energy Source<br>(e.g. MS3: Plastic fan blades)  | Body Part<br>(e.g. Ordinary)          | Safeguards   |   |                   |
|  |                                       | B  | S   | R                 |
| MS1: Sharp edges and corners of accessible parts   | Ordinary                              | N/A  | N/A   | N/A               |
| MS1: Product mass  | Ordinary                              | N/A  | N/A   | N/A               |
| 9  | Thermal burn                          |  |   |                   |
| Class and Energy Source<br>(e.g. TS1: Keyboard caps)   | Body Part<br>(e.g., Ordinary)         | Safeguards   |   |                   |
|  |                                       | B  | S   | R                 |
| TS1: All accessible parts  | Ordinary                              | N/A  | N/A   | N/A               |
| 10   | Radiation                             |  |   |                   |
| Class and Energy Source<br>(e.g. RS1: PMP sound output)  | Body Part<br>(e.g., Ordinary)         | Safeguards   |   |                   |
|  |                                       | B  | S   | R                 |
| RS1: LED display   | Ordinary                              | N/A  | N/A   | N/A               |
| Supplementary Information:<br>“B” – Basic Safeguard; “S” – Supplementary Safeguard; “R” – Reinforced Safeguard |                                       |  |   |                   |

### ENERGY SOURCE DIAGRAM

**Optional.** Manufacturers are to provide the energy sources diagram identify declared energy sources and identifying the demarcations are between power sources. Recommend diagram be provided included in power supply and multipart systems.

Insert diagram below. Example diagram designs are; Block diagrams; image(s) with layered data; mechanical drawings

☒ ES    ☒ PS    ☒ MS    ☒ TS    ☒ RS





| IEC 62368-1 |   |                       |         |
|-------------|---|-----------------------|---------|
| Clause      | Requirement + Test  | Result - Remark       | Verdict |
| <b>4</b>    | <b>GENERAL REQUIREMENTS</b>                                     |                       | P       |
| 4.1.1       | Acceptance of materials, components and subassemblies           |                       | P       |
| 4.1.2       | Use of components   |                       | P       |
| 4.1.3       | Equipment design and construction                               |                       | P       |
| 4.1.4       | Specified ambient temperature for outdoor use (°C)<br>.....:    | Indoor use only       | N/A     |
| 4.1.5       | Constructions and components not specifically covered           |                       | N/A     |
| 4.1.8       | Liquids and liquid filled components (LFC)                      |                       | N/A     |
| 4.1.15      | Markings and instructions                                       | (See Annex F)         | P       |
| 4.4.3       | Safeguard robustness  |                       | P       |
| 4.4.3.1     | General   |                       | P       |
| 4.4.3.2     | Steady force tests  | (See Annex T.4)       | P       |
| 4.4.3.3     | Drop tests  | (See Annex T.7)       | P       |
| 4.4.3.4     | Impact tests  |                       | N/A     |
| 4.4.3.5     | Internal accessible safeguard tests                             |                       | N/A     |
| 4.4.3.6     | Glass impact tests  | No glass used         | N/A     |
| 4.4.3.7     | Glass fixation tests  | No glass used         | N/A     |
|             | Glass impact test (1J)  |                       | N/A     |
|             | Push/pull test (10 N)   |                       | N/A     |
| 4.4.3.8     | Thermoplastic material tests                                    | (See Annex T.8)       | P       |
| 4.4.3.9     | Air comprising a safeguard                                      |                       | N/A     |
| 4.4.3.10    | Accessibility, glass, safeguard effectiveness                   |                       | P       |
| 4.4.4       | Displacement of a safeguard by an insulating liquid             |                       | N/A     |
| 4.4.5       | Safety interlocks   | (See Annex K)         | N/A     |
| <b>4.5</b>  | <b>Explosion</b>  |                       | P       |
| 4.5.1       | General   |                       | P       |
| 4.5.2       | No explosion during normal/abnormal operating condition         | (See Clause B.2, B.3) | P       |
|             | No harm by explosion during single fault conditions             | (See Clause B.4)      | P       |
| <b>4.6</b>  | <b>Fixing of conductors</b>                                     |                       | N/A     |
|             | Fix conductors not to defeat a safeguard .....                  |                       | N/A     |
|             | Compliance is checked by test .....                             |                       | N/A     |
| <b>4.7</b>  | <b>Equipment for direct insertion into mains socket-outlets</b> |                       | N/A     |

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|-------------|--|-------------------------------|---------|
| Clause      | Requirement + Test   | Result - Remark               | Verdict |
| 4.7.2       | Mains plug part complies with relevant standard... :                 | Not direct plug-in equipment. | N/A     |
| 4.7.3       | Torque (Nm)..... :   |                               | N/A     |
| <b>4.8</b>  | <b>Equipment containing coin/button cell batteries</b>               |                               | N/A     |
| 4.8.1       | General  |                               | N/A     |
| 4.8.2       | Instructional safeguard ..... :                                      |                               | N/A     |
| 4.8.3       | Battery compartment door/cover construction                          |                               | N/A     |
|             | Open torque test   |                               | N/A     |
| 4.8.4.2     | Stress relief test   |                               | N/A     |
| 4.8.4.3     | Battery replacement test   |                               | N/A     |
| 4.8.4.4     | Drop test  |                               | N/A     |
| 4.8.4.5     | Impact test  |                               | N/A     |
| 4.8.4.6     | Crush test   |                               | N/A     |
| 4.8.5       | Compliance   |                               | N/A     |
|             | 30N force test with test probe                                       |                               | N/A     |
|             | 20N force test with test hook  |                               | N/A     |
| <b>4.9</b>  | <b>Likelihood of fire or shock due to entry of conductive object</b> |                               | N/A     |
| <b>4.10</b> | <b>Component requirements</b>  |                               | N/A     |
| 4.10.1      | Disconnect Device  | (See Annex L)                 | N/A     |
| 4.10.2      | Switches and relays  | (See Annex G)                 | N/A     |

|            |   |                  |     |
|------------|---|------------------|-----|
| <b>5</b>   | <b>ELECTRICALLY-CAUSED INJURY</b>   |                  | P   |
| <b>5.2</b> | <b>Classification and limits of electrical energy sources</b>                         |                  | P   |
| 5.2.2      | ES1, ES2 and ES3 limits   |                  | P   |
| 5.2.2.2    | Steady-state voltage and current limits..... :  | ES1              | P   |
| 5.2.2.3    | Capacitance limits ..... :  | No such parts    | N/A |
| 5.2.2.4    | Single pulse limits ..... :   | No such parts    | N/A |
| 5.2.2.5    | Limits for repetitive pulses ..... :  | No such parts    | N/A |
| 5.2.2.6    | Ringing signals   |                  | N/A |
| 5.2.2.7    | Audio signals   |                  | N/A |
| <b>5.3</b> | <b>Protection against electrical energy sources</b>                                   |                  | N/A |
| 5.3.1      | General Requirements for accessible parts to ordinary, instructed and skilled persons | ES1 circuit only | N/A |
| 5.3.1 a)   | Accessible ES1/ES2 derived from ES2/ES3 circuits                                      |                  | N/A |
| 5.3.1 b)   | Skilled persons not unintentional contact ES3 bare conductors                         |                  | N/A |

| IEC 62368-1 |   |                          |         |
|-------------|---|--------------------------|---------|
| Clause      | Requirement + Test  | Result - Remark          | Verdict |
| 5.3.2.1     | Accessibility to electrical energy sources and safeguards                   | ES1 circuit only         | N/A     |
|             | Accessibility to outdoor equipment bare parts                               |                          | N/A     |
| 5.3.2.2     | Contact requirements  | ES1 circuit only         | N/A     |
|             | Test with test probe from Annex V   |                          | -       |
| 5.3.2.2 a)  | Air gap – electric strength test potential (V)..... :                       |                          | N/A     |
| 5.3.2.2 b)  | Air gap – distance (mm) ..... :   |                          | N/A     |
| 5.3.2.3     | Compliance  |                          | N/A     |
| 5.3.2.4     | Terminals for connecting stripped wire                                      |                          | N/A     |
| <b>5.4</b>  | <b>Insulation materials and requirements</b>                                |                          | N/A     |
| 5.4.1.2     | Properties of insulating material   |                          | N/A     |
| 5.4.1.3     | Material is non-hygroscopic   |                          | N/A     |
| 5.4.1.4     | Maximum operating temperature for insulating materials ..... :              |                          | N/A     |
| 5.4.1.5     | Pollution degrees ..... :   |                          | N/A     |
| 5.4.1.5.2   | Test for pollution degree 1 environment and for an insulating compound      |                          | N/A     |
| 5.4.1.5.3   | Thermal cycling test  |                          | N/A     |
| 5.4.1.6     | Insulation in transformers with varying dimensions                          | No such transformer.     | N/A     |
| 5.4.1.7     | Insulation in circuits generating starting pulses                           | No such starting pulses. | N/A     |
| 5.4.1.8     | Determination of working voltage..... :                                     |                          | N/A     |
| 5.4.1.9     | Insulating surfaces   |                          | N/A     |
| 5.4.1.10    | Thermoplastic parts on which conductive metallic parts are directly mounted |                          | N/A     |
| 5.4.1.10.2  | Vicat test ..... :  | Not performed.           | N/A     |
| 5.4.1.10.3  | Ball pressure test..... :   |                          | N/A     |
| 5.4.2       | Clearances  |                          | N/A     |
| 5.4.2.1     | General requirements  |                          | N/A     |
|             | Clearances in circuits connected to AC Mains, Alternative method            | (See Annex X)            | N/A     |
| 5.4.2.2     | Procedure 1 for determining clearance                                       |                          | N/A     |
|             | Temporary overvoltage ..... :   |                          | —       |
| 5.4.2.3     | Procedure 2 for determining clearance                                       |                          | N/A     |
| 5.4.2.3.2.2 | a.c. mains transient voltage ..... :  |                          | —       |
| 5.4.2.3.2.3 | d.c. mains transient voltage ..... :  |                          | —       |
| 5.4.2.3.2.4 | External circuit transient voltage ..... :                                  |                          | —       |

| IEC 62368-1 |   |                 |         |
|-------------|---|-----------------|---------|
| Clause      | Requirement + Test  | Result - Remark | Verdict |
| 5.4.2.3.2.5 | Transient voltage determined by measurement..... :                                  |                 | —       |
| 5.4.2.4     | Determining the adequacy of a clearance using an electric strength test ..... :     |                 | N/A     |
| 5.4.2.5     | Multiplication factors for clearances and test voltages..... :                      |                 | N/A     |
| 5.4.2.6     | Clearance measurement ..... :   |                 | N/A     |
| 5.4.3       | Creepage distances  |                 | N/A     |
| 5.4.3.1     | General   |                 | N/A     |
| 5.4.3.3     | Material group ..... :  |                 | —       |
| 5.4.3.4     | Creepage distances measurement..... :   |                 | N/A     |
| 5.4.4       | Solid insulation  |                 | N/A     |
| 5.4.4.1     | General requirements  |                 | N/A     |
| 5.4.4.2     | Minimum distance through insulation ..... :   |                 | N/A     |
| 5.4.4.3     | Insulating compound forming solid insulation  |                 | N/A     |
| 5.4.4.4     | Solid insulation in semiconductor devices   |                 | N/A     |
| 5.4.4.5     | Insulating compound forming cemented joints   |                 | N/A     |
| 5.4.4.6     | Thin sheet material   |                 | N/A     |
| 5.4.4.6.1   | General requirements  |                 | N/A     |
| 5.4.4.6.2   | Separable thin sheet material   |                 | N/A     |
|             | Number of layers (pcs) ..... :  |                 | N/A     |
| 5.4.4.6.3   | Non-separable thin sheet material   |                 | N/A     |
|             | Number of layers (pcs) ..... :  |                 | N/A     |
| 5.4.4.6.4   | Standard test procedure for non-separable thin sheet material..... :                |                 | N/A     |
| 5.4.4.6.5   | Mandrel test  |                 | N/A     |
| 5.4.4.7     | Solid insulation in wound components  |                 | N/A     |
| 5.4.4.9     | Solid insulation at frequencies >30 kHz, $E_P$ , $K_R$ , $d$ , $V_{PW}$ (V) ..... : |                 | N/A     |
|             | Alternative by electric strength test, tested voltage (V), $K_R$ ..... :            |                 | N/A     |
| 5.4.5       | Antenna terminal insulation   |                 | N/A     |
| 5.4.5.1     | General   |                 | N/A     |
| 5.4.5.2     | Voltage surge test  |                 | N/A     |
| 5.4.5.3     | Insulation resistance (M $\Omega$ ) ..... :   |                 | N/A     |
|             | Electric strength test ..... :  |                 | N/A     |

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| Clause      | Requirement + Test   | Result - Remark  | Verdict |
| 5.4.6       | Insulation of internal wire as part of supplementary safeguard |  | N/A     |
| 5.4.7       | Tests for semiconductor components and for cemented joints     |  | N/A     |
| 5.4.8       | Humidity conditioning  |  | N/A     |
|             | Relative humidity (%), temperature (°C), duration (h) .....    |  | —       |
| 5.4.9       | Electric strength test   |  | N/A     |
| 5.4.9.1     | Test procedure for type test of solid insulation .....         |  | N/A     |
| 5.4.9.2     | Test procedure for routine test                                |  | N/A     |
| 5.4.10      | Safeguards against transient voltages from external circuits   | No connection to external circuits with transient voltage. | N/A     |
| 5.4.10.1    | Parts and circuits separated from external circuits            |  | N/A     |
| 5.4.10.2    | Test methods   |  | N/A     |
| 5.4.10.2.1  | General  |  | N/A     |
| 5.4.10.2.2  | Impulse test .....   | (See appended table 5.4.9)                                 | N/A     |
| 5.4.10.2.3  | Steady-state test .....  | (See appended table 5.4.9)                                 | N/A     |
| 5.4.10.3    | Verification for insulation breakdown for impulse test .....   |  | N/A     |
| 5.4.11      | Separation between external circuits and earth                 | No connection to external circuits with transient voltage. | N/A     |
| 5.4.11.1    | Exceptions to separation between external circuits and earth   |  | N/A     |
| 5.4.11.2    | Requirements   |  | N/A     |
|             | SPDs bridge separation between external circuit and earth      |  | N/A     |
|             | Rated operating voltage $U_{op}$ (V) .....                     |  | —       |
|             | Nominal voltage $U_{peak}$ (V) .....                           |  | —       |
|             | Max increase due to variation $U_{sp}$ .....                   |  | —       |
|             | Max increase due to ageing $U_{sa}$ .....                      |  | —       |
| 5.4.11.3    | Test method and compliance .....                               |  | N/A     |
| 5.4.12      | Insulating liquid  |  | N/A     |
| 5.4.12.1    | General requirements   |  | N/A     |
| 5.4.12.2    | Electric strength of an insulating liquid .....                |  | N/A     |
| 5.4.12.3    | Compatibility of an insulating liquid .....                    |  | N/A     |
| 5.4.12.4    | Container for insulating liquid .....                          |  | N/A     |
| <b>5.5</b>  | <b>Components as safeguards</b>                                |  | N/A     |



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| Clause      | Requirement + Test   | Result - Remark | Verdict |
| 5.5.1       | General  |                 | N/A     |
| 5.5.2       | Capacitors and RC units  |                 | N/A     |
| 5.5.2.1     | General requirement  |                 | N/A     |
| 5.5.2.2     | Safeguards against capacitor discharge after disconnection of a connector .....          |                 | N/A     |
| 5.5.3       | Transformers   |                 | N/A     |
| 5.5.4       | Optocouplers   |                 | N/A     |
| 5.5.5       | Relays   |                 | N/A     |
| 5.5.6       | Resistors  |                 | N/A     |
| 5.5.7       | SPDs   |                 | N/A     |
| 5.5.8       | Insulation between the mains and an external circuit consisting of a coaxial cable ..... |                 | N/A     |
| 5.5.9       | Safeguards for socket-outlets in outdoor equipment                                       |                 | N/A     |
|             | RCD rated residual operating current (mA) .....  |                 | —       |
| <b>5.6</b>  | <b>Protective conductor</b>  |                 | N/A     |
| 5.6.2       | Requirement for protective conductors  |                 | N/A     |
| 5.6.2.1     | General requirements   |                 | N/A     |
| 5.6.2.2     | Colour of insulation   |                 | N/A     |
| 5.6.3       | Requirement for protective earthing conductors   |                 | N/A     |
|             | Protective earthing conductor size (mm <sup>2</sup> ) .....                              |                 | —       |
|             | Protective earthing conductor serving as a reinforced safeguard                          |                 | N/A     |
|             | Protective earthing conductor serving as a double safeguard                              |                 | N/A     |
| 5.6.4       | Requirements for protective bonding conductors   |                 | N/A     |
| 5.6.4.1     | Protective bonding conductors  |                 | N/A     |
|             | Protective bonding conductor size (mm <sup>2</sup> ). ....                               |                 | —       |
| 5.6.4.2     | Protective current rating (A) .....  |                 | N/A     |
| 5.6.5       | Terminals for protective conductors  |                 | N/A     |
| 5.6.5.1     | Terminal size for connecting protective earthing conductors (mm) .....                   |                 | N/A     |
|             | Terminal size for connecting protective bonding conductors (mm).....                     |                 | N/A     |
| 5.6.5.2     | Corrosion  |                 | N/A     |
| 5.6.6       | Resistance of the protective bonding system  |                 | N/A     |
| 5.6.6.1     | Requirements   |                 | N/A     |



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| Clause      | Requirement + Test  | Result - Remark            | Verdict |
| 5.6.6.2     | Test Method .....   | (See appended table 5.6.6) | N/A     |
| 5.6.6.3     | Resistance ( $\Omega$ ) or voltage drop .....                                       | (See appended table 5.6.6) | N/A     |
| 5.6.7       | Reliable connection of a protective earthing conductor                              |                            | N/A     |
| 5.6.8       | Functional earthing   |                            | N/A     |
|             | Conductor size ( $\text{mm}^2$ ) .....  |                            | N/A     |
|             | Class II with functional earthing marking .....                                     |                            | N/A     |
|             | Appliance inlet cl & cr (mm) .....  |                            | N/A     |
| <b>5.7</b>  | <b>Prospective touch voltage, touch current and protective conductor current</b>    |                            | N/A     |
| 5.7.2       | Measuring devices and networks  |                            | N/A     |
| 5.7.2.1     | Measurement of touch current  |                            | N/A     |
| 5.7.2.2     | Measurement of voltage  |                            | N/A     |
| 5.7.3       | Equipment set-up, supply connections and earth connections                          |                            | N/A     |
| 5.7.4       | Unearthed accessible parts .....  | (See appended table 5.7.4) | N/A     |
| 5.7.5       | Earthed accessible conductive parts .....   | (See appended table 5.7.5) | N/A     |
| 5.7.6       | Requirements when touch current exceeds ES2 limits                                  |                            | N/A     |
|             | Protective conductor current (mA) .....   |                            | N/A     |
|             | Instructional Safeguard .....   |                            | N/A     |
| 5.7.7       | Prospective touch voltage and touch current associated with external circuits       |                            | N/A     |
| 5.7.7.1     | Touch current from coaxial cables   |                            | N/A     |
| 5.7.7.2     | Prospective touch voltage and touch current associated with paired conductor cables |                            | N/A     |
| 5.7.8       | Summation of touch currents from external circuits                                  |                            | N/A     |
|             | a) Equipment connected to earthed external circuits, current (mA) .....             |                            | N/A     |
|             | b) Equipment connected to unearthed external circuits, current (mA) .....           |                            | N/A     |
| <b>5.8</b>  | <b>Backfeed safeguard in battery backed up supplies</b>                             |                            | N/A     |
|             | Mains terminal ES .....   |                            | N/A     |
|             | Air gap (mm) .....  |                            | N/A     |

|            |  |                            |   |
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| <b>6</b>   | <b>ELECTRICALLY- CAUSED FIRE</b>           |                            | P |
| <b>6.2</b> | <b>Classification of PS and PIS</b>        |                            | P |
| 6.2.2      | Power source circuit classifications ..... | (See appended table 6.2.2) | P |

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| Clause      | Requirement + Test   | Result - Remark   | Verdict |
| 6.2.3       | Classification of potential ignition sources   |   | P       |
| 6.2.3.1     | Arcing PIS .....   |   | N/A     |
| 6.2.3.2     | Resistive PIS .....  | (See appended table 6.2.3.2)  | N/A     |
| <b>6.3</b>  | <b>Safeguards against fire under normal operating and abnormal operating conditions</b>  |   | P       |
| 6.3.1       | No ignition and attainable temperature value less than 90 % defined by ISO 871 or less than 300 °C for unknown materials ..... | (See appended table 6.3.1)  | P       |
|             | Combustible materials outside fire enclosure.....  |   | N/A     |
| <b>6.4</b>  | <b>Safeguards against fire under single fault conditions</b>   |   | P       |
| 6.4.1       | Safeguard method   | Method by control of fire spread applied, Fire enclosure provided.  | P       |
| 6.4.2       | Reduction of the likelihood of ignition under single fault conditions in PS1 circuits  |   | N/A     |
| 6.4.3       | Reduction of the likelihood of ignition under single fault conditions in PS2 and PS3 circuits                                  |   | N/A     |
| 6.4.3.1     | Supplementary safeguards   |   | N/A     |
| 6.4.3.2     | Single Fault Conditions.....   | (See appended table B.4)  | N/A     |
|             | Special conditions for temperature limited by fuse   |   | N/A     |
| 6.4.4       | Control of fire spread in PS1 circuits   |   | P       |
| 6.4.5       | Control of fire spread in PS2 circuits   | See below   | N/A     |
| 6.4.5.2     | Supplementary safeguards   | Supplementary safeguards:<br>- Rated V-0 or better PCB material;<br>- All other components: at least V-1 except for components mounted on min. V-0 material or small parts of combustible material; | P       |
| 6.4.6       | Control of fire spread in PS3 circuits   |   | N/A     |
| 6.4.7       | Separation of combustible materials from a PIS   |   | N/A     |
| 6.4.7.2     | Separation by distance   |   | N/A     |
| 6.4.7.3     | Separation by a fire barrier   | Internal fire enclosure provided.   | N/A     |
| 6.4.8       | Fire enclosures and fire barriers  | See the below   | P       |
| 6.4.8.2     | Fire enclosure and fire barrier material properties  | Impede the spread of fire by fire enclosure   | P       |
| 6.4.8.2.1   | Requirements for a fire barrier  | No fire barrier.  | N/A     |

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| Clause      | Requirement + Test  | Result - Remark                                    | Verdict |
| 6.4.8.2.2   | Requirements for a fire enclosure   | V-0 plastic enclosure used to contains the battery | P       |
| 6.4.8.3     | Constructional requirements for a fire enclosure and a fire barrier                                     |  | N/A     |
| 6.4.8.3.1   | Fire enclosure and fire barrier openings  | No Fire enclosure opening                          | N/A     |
| 6.4.8.3.2   | Fire barrier dimensions   | No fire barrier                                    | N/A     |
| 6.4.8.3.3   | Top openings and properties   |  | N/A     |
|             | Openings dimensions (mm) .....  |  | N/A     |
| 6.4.8.3.4   | Bottom openings and properties  |  | N/A     |
|             | Openings dimensions (mm) .....  |  | N/A     |
|             | Flammability tests for the bottom of a fire enclosure   | (See Clause S.3)                                   | N/A     |
|             | Instructional Safeguard .....   |  | N/A     |
| 6.4.8.3.5   | Side openings and properties  |  | N/A     |
|             | Openings dimensions (mm) .....  |  | N/A     |
| 6.4.8.3.6   | Integrity of a fire enclosure, condition met: a), b) or c) .....  |  | N/A     |
| 6.4.8.4     | Separation of a PIS from a fire enclosure and a fire barrier distance (mm) or flammability rating ..... | V-0 plastic enclosure used                         | P       |
| 6.4.9       | Flammability of insulating liquid .....   |  | N/A     |
| <b>6.5</b>  | <b>Internal and external wiring</b>   |  | P       |
| 6.5.1       | General requirements  |  | P       |
| 6.5.2       | Requirements for interconnection to building wiring .....   |  | N/A     |
| 6.5.3       | Internal wiring size (mm <sup>2</sup> ) for socket-outlets .....  |  | N/A     |
| <b>6.6</b>  | <b>Safeguards against fire due to the connection to additional equipment</b>                            |  | P       |

|            |  |     |
|------------|--|-----|
| <b>7</b>   | <b>INJURY CAUSED BY HAZARDOUS SUBSTANCES</b>                             | P   |
| <b>7.2</b> | <b>Reduction of exposure to hazardous substances</b>                     | P   |
| <b>7.3</b> | <b>Ozone exposure</b>  | N/A |
| <b>7.4</b> | <b>Use of personal safeguards or personal protective equipment (PPE)</b> | N/A |
|            | Personal safeguards and instructions.....                                | —   |
| <b>7.5</b> | <b>Use of instructional safeguards and instructions</b>                  | N/A |
|            | Instructional safeguard (ISO 7010) .....                                 | —   |
| <b>7.6</b> | <b>Batteries and their protection circuits</b>                           | P   |

|          |                                   |   |
|----------|-----------------------------------|---|
| <b>8</b> | <b>MECHANICALLY-CAUSED INJURY</b> | P |
|----------|-----------------------------------|---|

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| Clause      | Requirement + Test  | Result - Remark                       | Verdict |
| <b>8.2</b>  | <b>Mechanical energy source classifications</b>                             |                                       | P       |
| <b>8.3</b>  | <b>Safeguards against mechanical energy sources</b>                         |                                       | P       |
| <b>8.4</b>  | <b>Safeguards against parts with sharp edges and corners</b>                |                                       | P       |
| 8.4.1       | Safeguards  |                                       | P       |
|             | Instructional Safeguard ..... :   | Edges and corners are classed as MS1. | P       |
| 8.4.2       | Sharp edges or corners  |                                       | P       |
| <b>8.5</b>  | <b>Safeguards against moving parts</b>                                      |                                       | N/A     |
| 8.5.1       | Fingers, jewellery, clothing, hair, etc., contact with MS2 or MS3 parts     |                                       | N/A     |
|             | MS2 or MS3 part required to be accessible for the function of the equipment |                                       | N/A     |
|             | Moving MS3 parts only accessible to skilled person                          |                                       | N/A     |
| 8.5.2       | Instructional safeguard ..... :   |                                       | N/A     |
| 8.5.4       | Special categories of equipment containing moving parts                     |                                       | N/A     |
| 8.5.4.1     | General   |                                       | N/A     |
| 8.5.4.2     | Equipment containing work cells with MS3 parts                              |                                       | N/A     |
| 8.5.4.2.1   | Protection of persons in the work cell                                      |                                       | N/A     |
| 8.5.4.2.2   | Access protection override  |                                       | N/A     |
| 8.5.4.2.2.1 | Override system   |                                       | N/A     |
| 8.5.4.2.2.2 | Visual indicator  |                                       | N/A     |
| 8.5.4.2.3   | Emergency stop system   |                                       | N/A     |
|             | Maximum stopping distance from the point of activation (m) ..... :          |                                       | N/A     |
|             | Space between end point and nearest fixed mechanical part (mm)..... :       |                                       | N/A     |
| 8.5.4.2.4   | Endurance requirements  |                                       | N/A     |
|             | Mechanical system subjected to 100 000 cycles of operation                  |                                       | N/A     |
|             | - Mechanical function check and visual inspection                           |                                       | N/A     |
|             | - Cable assembly ..... :  |                                       | N/A     |
| 8.5.4.3     | Equipment having electromechanical device for destruction of media          |                                       | N/A     |
| 8.5.4.3.1   | Equipment safeguards  |                                       | N/A     |
| 8.5.4.3.2   | Instructional safeguards against moving parts ..... :                       |                                       | N/A     |

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| Clause      | Requirement + Test   | Result - Remark       | Verdict |
| 8.5.4.3.3   | Disconnection from the supply                                |                       | N/A     |
| 8.5.4.3.4   | Cut type and test force (N) .....                            |                       | N/A     |
| 8.5.4.3.5   | Compliance   |                       | N/A     |
| 8.5.5       | High pressure lamps  |                       | N/A     |
|             | Explosion test .....   |                       | N/A     |
| 8.5.5.3     | Glass particles dimensions (mm).....                         |                       | N/A     |
| <b>8.6</b>  | <b>Stability of equipment</b>                                |                       | N/A     |
| 8.6.1       | General  |                       | N/A     |
|             | Instructional safeguard .....                                |                       | N/A     |
| 8.6.2       | Static stability   |                       | N/A     |
| 8.6.2.2     | Static stability test .....                                  |                       | N/A     |
| 8.6.2.3     | Downward force test  |                       | N/A     |
| 8.6.3       | Relocation stability   |                       | N/A     |
|             | Wheels diameter (mm) .....                                   |                       | —       |
|             | Tilt test  |                       | N/A     |
| 8.6.4       | Glass slide test   |                       | N/A     |
| 8.6.5       | Horizontal force test.....                                   |                       | N/A     |
| <b>8.7</b>  | <b>Equipment mounted to wall, ceiling or other structure</b> |                       | N/A     |
| 8.7.1       | Mount means type .....                                       |                       | N/A     |
| 8.7.2       | Test methods   |                       | N/A     |
|             | Test 1, additional downwards force (N) .....                 |                       | N/A     |
|             | Test 2, number of attachment points and test force (N) ..... |                       | N/A     |
|             | Test 3 Nominal diameter (mm) and applied torque (Nm) .....   |                       | N/A     |
| <b>8.8</b>  | <b>Handles strength</b>                                      |                       | N/A     |
| 8.8.1       | General  | No handles.           | N/A     |
| 8.8.2       | Handle strength test   |                       | N/A     |
|             | Number of handles .....                                      |                       | —       |
|             | Force applied (N) .....                                      |                       | —       |
| <b>8.9</b>  | <b>Wheels or casters attachment requirements</b>             |                       | N/A     |
| 8.9.2       | Pull test  | No wheels or casters. | N/A     |
| <b>8.10</b> | <b>Carts, stands and similar carriers</b>                    |                       | N/A     |
| 8.10.1      | General  |                       | N/A     |



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| Clause      | Requirement + Test  | Result - Remark   | Verdict |
| 8.10.2      | Marking and instructions .....                                |                   | N/A     |
| 8.10.3      | Cart, stand or carrier loading test                           |                   | N/A     |
|             | Loading force applied (N) .....                               |                   | N/A     |
| 8.10.4      | Cart, stand or carrier impact test                            |                   | N/A     |
| 8.10.5      | Mechanical stability  |                   | N/A     |
|             | Force applied (N) .....                                       |                   | —       |
| 8.10.6      | Thermoplastic temperature stability                           |                   | N/A     |
| <b>8.11</b> | <b>Mounting means for slide-rail mounted equipment (SRME)</b> |                   | N/A     |
| 8.11.1      | General   | Not rack mounted. | N/A     |
| 8.11.2      | Requirements for slide rails                                  |                   | N/A     |
|             | Instructional Safeguard .....                                 |                   | N/A     |
| 8.11.3      | Mechanical strength test                                      |                   | N/A     |
| 8.11.3.1    | Downward force test, force (N) applied .....                  |                   | N/A     |
| 8.11.3.2    | Lateral push force test                                       |                   | N/A     |
| 8.11.3.3    | Integrity of slide rail end stops                             |                   | N/A     |
| 8.11.4      | Compliance  |                   | N/A     |
| <b>8.12</b> | <b>Telescoping or rod antennas</b>                            |                   | N/A     |
|             | Button/ball diameter (mm) .....                               | No rod antennas.  | —       |

|            |   |                          |     |
|------------|---|--------------------------|-----|
| <b>9</b>   | <b>THERMAL BURN INJURY</b>                          |                          | P   |
| <b>9.2</b> | <b>Thermal energy source classifications</b>        |                          | P   |
| <b>9.3</b> | <b>Touch temperature limits</b>                     |                          | P   |
| 9.3.1      | Touch temperatures of accessible parts .....        | Enclosure                | P   |
| 9.3.2      | Test method and compliance                          |                          | P   |
| <b>9.4</b> | <b>Safeguards against thermal energy sources</b>    |                          | N/A |
| <b>9.5</b> | <b>Requirements for safeguards</b>                  |                          | N/A |
| 9.5.1      | Equipment safeguard                                 |                          | N/A |
| 9.5.2      | Instructional safeguard .....                       |                          | N/A |
| <b>9.6</b> | <b>Requirements for wireless power transmitters</b> |                          | N/A |
| 9.6.1      | General   |                          | N/A |
| 9.6.2      | Specification of the foreign objects                |                          | N/A |
| 9.6.3      | Test method and compliance .....                    | (See appended table 9.6) | N/A |

|             |   |  |   |
|-------------|---|--|---|
| <b>10</b>   | <b>RADIATION</b>                              |  | P |
| <b>10.2</b> | <b>Radiation energy source classification</b> |  | P |



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| Clause      | Requirement + Test  | Result - Remark  | Verdict |
| 10.2.1      | General classification  | LED display, RS1 | P       |
|             | Lasers .....  |                  | —       |
|             | Lamps and lamp systems .....  |                  | —       |
|             | Image projectors .....  |                  | —       |
|             | X-Ray .....   |                  | —       |
|             | Personal music player.....  |                  | —       |
| <b>10.3</b> | <b>Safeguards against laser radiation</b>   |                  | N/A     |
|             | The standard(s) equipment containing laser(s) comply.....                                     |                  | N/A     |
| <b>10.4</b> | <b>Safeguards against optical radiation from lamps and lamp systems (including LED types)</b> |                  | P       |
| 10.4.1      | General requirements  | LED display, RS1 | P       |
|             | Instructional safeguard provided for accessible radiation level needs to exceed               |                  | N/A     |
|             | Risk group marking and location .....   |                  | N/A     |
|             | Information for safe operation and installation   |                  | N/A     |
| 10.4.2      | Requirements for enclosures   |                  | N/A     |
|             | UV radiation exposure.....  |                  | N/A     |
| 10.4.3      | Instructional safeguard.....  |                  | N/A     |
| <b>10.5</b> | <b>Safeguards against X-radiation</b>   |                  | N/A     |
| 10.5.1      | Requirements  |                  | N/A     |
|             | Instructional safeguard for skilled persons .....   |                  | —       |
| 10.5.3      | Maximum radiation (pA/kg) .....   |                  | —       |
| <b>10.6</b> | <b>Safeguards against acoustic energy sources</b>   |                  | N/A     |
| 10.6.1      | General   |                  | N/A     |
| 10.6.2      | Classification  |                  | N/A     |
|             | Acoustic output $L_{Aeq,T}$ , dB(A) .....   |                  | N/A     |
|             | Unweighted RMS output voltage (mV) .....  |                  | N/A     |
|             | Digital output signal (dBFS) .....  |                  | N/A     |
| 10.6.3      | Requirements for dose-based systems   |                  | N/A     |
| 10.6.3.1    | General requirements  |                  | N/A     |
| 10.6.3.2    | Dose-based warning and automatic decrease   |                  | N/A     |
| 10.6.3.3    | Exposure-based warning and requirements   |                  | N/A     |
|             | 30 s integrated exposure level (MEL30) .....  |                  | N/A     |
|             | Warning for MEL $\geq 100$ dB(A).....   |                  | N/A     |

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| Clause      | Requirement + Test   | Result - Remark | Verdict |
| 10.6.4      | Measurement methods  |                 | N/A     |
| 10.6.5      | Protection of persons  |                 | N/A     |
|             | Instructional safeguards..... :                                  |                 | N/A     |
| 10.6.6      | Requirements for listening devices (headphones, earphones, etc.) |                 | N/A     |
| 10.6.6.1    | Corded listening devices with analogue input                     |                 | N/A     |
|             | Listening device input voltage (mV)..... :                       |                 | N/A     |
| 10.6.6.2    | Corded listening devices with digital input                      |                 | N/A     |
|             | Max. acoustic output $L_{Aeq,T}$ , dB(A)..... :                  |                 | N/A     |
| 10.6.6.3    | Cordless listening devices                                       |                 | N/A     |
|             | Max. acoustic output $L_{Aeq,T}$ , dB(A)..... :                  |                 | N/A     |

|            |  |  |     |
|------------|--|--|-----|
| <b>B</b>   | <b>NORMAL OPERATING CONDITION TESTS, ABNORMAL OPERATING CONDITION TESTS AND SINGLE FAULT CONDITION TESTS</b> |  | P   |
| <b>B.1</b> | <b>General</b>   |  | P   |
| B.1.5      | Temperature measurement conditions   | (See appended table B.1.5)                           | P   |
| <b>B.2</b> | <b>Normal operating conditions</b>   |  | P   |
| B.2.1      | General requirements..... :  | (See Test Item Particulars and appended test tables) | P   |
|            | Audio Amplifiers and equipment with audio amplifiers..... :  |  | N/A |
| B.2.3      | Supply voltage and tolerances  |  | N/A |
| B.2.5      | Input test..... :  | (See appended table B.2.5)                           | P   |
| <b>B.3</b> | <b>Simulated abnormal operating conditions</b>   |  | P   |
| B.3.1      | General  |  | P   |
| B.3.2      | Covering of ventilation openings   |  | N/A |
|            | Instructional safeguard..... :   |  | N/A |
| B.3.3      | DC mains polarity test   |  | N/A |
| B.3.4      | Setting of voltage selector  | No voltage selector                                  | N/A |
| B.3.5      | Maximum load at output terminals   |  | N/A |
| B.3.6      | Reverse battery polarity   |  | N/A |
| B.3.8      | Safeguards functional during and after abnormal operating conditions..... :                                  | (See appended table B.3)                             | N/A |
| <b>B.4</b> | <b>Simulated single fault condition</b>  |  | P   |
| B.4.1      | General  |  | P   |
| B.4.2      | Temperature controlling device   |  | P   |

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| Clause      | Requirement + Test   | Result - Remark                    | Verdict |
| B.4.3       | Blocked motor test   |                                    | N/A     |
| B.4.4       | Functional insulation  |                                    | N/A     |
| B.4.4.1     | Short circuit of clearances for functional insulation                    |                                    | N/A     |
| B.4.4.2     | Short circuit of creepage distances for functional insulation            |                                    | N/A     |
| B.4.4.3     | Short circuit of functional insulation on coated printed boards          |                                    | N/A     |
| B.4.5       | Short-circuit and interruption of electrodes in tubes and semiconductors | All safeguards remained effective. | P       |
| B.4.6       | Short circuit or disconnection of passive components                     |                                    | P       |
| B.4.7       | Continuous operation of components                                       |                                    | P       |
| B.4.8       | Compliance during and after single fault conditions .....                | (See appended table B.4)           | P       |
| B.4.9       | Battery charging and discharging under single fault conditions           | (See Annex M)                      | P       |
| <b>C</b>    | <b>UV RADIATION</b>  |                                    | N/A     |
| <b>C.1</b>  | <b>Protection of materials in equipment from UV radiation</b>            |                                    | N/A     |
| C.1.2       | Requirements   | General indoor used equipment only | N/A     |
| C.1.3       | Test method  |                                    | N/A     |
| <b>C.2</b>  | <b>UV light conditioning test</b>  |                                    | N/A     |
| C.2.1       | Test apparatus .....   |                                    | N/A     |
| C.2.2       | Mounting of test samples   |                                    | N/A     |
| C.2.3       | Carbon-arc light-exposure test   |                                    | N/A     |
| C.2.4       | Xenon-arc light-exposure test  |                                    | N/A     |
| <b>D</b>    | <b>TEST GENERATORS</b>   |                                    | N/A     |
| <b>D.1</b>  | <b>Impulse test generators</b>   |                                    | N/A     |
| <b>D.2</b>  | <b>Antenna interface test generator</b>                                  |                                    | N/A     |
| <b>D.3</b>  | <b>Electronic pulse generator</b>  |                                    | N/A     |
| <b>E</b>    | <b>TEST CONDITIONS FOR EQUIPMENT CONTAINING AUDIO AMPLIFIERS</b>         |                                    | N/A     |
| <b>E.1</b>  | <b>Electrical energy source classification for audio signals</b>         |                                    | N/A     |
|             | Maximum non-clipped output power (W) .....                               |                                    | —       |
|             | Rated load impedance ( $\Omega$ ) .....                                  |                                    | —       |
|             | Open-circuit output voltage (V) .....                                    |                                    | —       |
|             | Instructional safeguard .....  |                                    | —       |
| <b>E.2</b>  | <b>Audio amplifier normal operating conditions</b>                       |                                    | N/A     |

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| Clause      | Requirement + Test  |                                       | Verdict |
|             | Audio signal source type..... :                                       |                                       | —       |
|             | Audio output power (W)..... :   |                                       | —       |
|             | Audio output voltage (V) ..... :                                      |                                       | —       |
|             | Rated load impedance ( $\Omega$ ) ..... :                             |                                       | —       |
|             | Requirements for temperature measurement                              |                                       | N/A     |
| E.3         | Audio amplifier abnormal operating conditions                         |                                       | N/A     |
| <b>F</b>    | <b>EQUIPMENT MARKINGS, INSTRUCTIONS, AND INSTRUCTIONAL SAFEGUARDS</b> |                                       | P       |
| <b>F.1</b>  | <b>General</b>  |                                       | P       |
|             | Language ..... :  | Instructions in English are reviewed. | —       |
| <b>F.2</b>  | <b>Letter symbols and graphical symbols</b>                           |                                       | P       |
| F.2.1       | Letter symbols according to IEC60027-1                                |                                       | P       |
| F.2.2       | Graphic symbols according to IEC, ISO or manufacturer specific        |                                       | P       |
| <b>F.3</b>  | <b>Equipment markings</b>   |                                       | P       |
| F.3.1       | Equipment marking locations   | Rear of the enclosure                 | P       |
| F.3.2       | Equipment identification markings                                     |                                       | P       |
| F.3.2.1     | Manufacturer identification ..... :                                   | See copy of marking plate             | P       |
| F.3.2.2     | Model identification ..... :  | See copy of marking plate             | P       |
| F.3.3       | Equipment rating markings   |                                       | P       |
| F.3.3.1     | Equipment with direct connection to mains                             |                                       | N/A     |
| F.3.3.2     | Equipment without direct connection to mains                          |                                       | P       |
| F.3.3.3     | Nature of the supply voltage ..... :                                  | See copy of marking plate             | P       |
| F.3.3.4     | Rated voltage..... :  | See copy of marking plate             | P       |
| F.3.3.5     | Rated frequency ..... :   | DC equipment                          | N/A     |
| F.3.3.6     | Rated current or rated power..... :                                   | See copy of marking plate             | P       |
| F.3.3.7     | Equipment with multiple supply connections                            |                                       | N/A     |
| F.3.4       | Voltage setting device  |                                       | N/A     |
| F.3.5       | Terminals and operating devices                                       | See below.                            | N/A     |
| F.3.5.1     | Mains appliance outlet and socket-outlet markings ..... :             | No such devices on the equipment.     | N/A     |
| F.3.5.2     | Switch position identification marking..... :                         | No such switch on the equipment.      | N/A     |

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| Clause      | Requirement + Test   | Result - Remark   | Verdict |
| F.3.5.3     | Replacement fuse identification and rating markings .....                  |   | N/A     |
|             | Instructional safeguards for neutral fuse .....                            | No used neutral fuse.   | N/A     |
| F.3.5.4     | Replacement battery identification marking.....                            | No used battery.  | N/A     |
| F.3.5.5     | Neutral conductor terminal   |   | N/A     |
| F.3.5.6     | Terminal marking location  |   | N/A     |
| F.3.6       | Equipment markings related to equipment classification                     |   | P       |
| F.3.6.1     | Class I equipment  | Class III   | N/A     |
| F.3.6.1.1   | Protective earthing conductor terminal .....                               |   | N/A     |
| F.3.6.1.2   | Protective bonding conductor terminals .....                               |   | N/A     |
| F.3.6.2     | Equipment class marking.....   |   | N/A     |
| F.3.6.3     | Functional earthing terminal marking .....                                 |   | N/A     |
| F.3.7       | Equipment IP rating marking .....  | IP X0.  | P       |
| F.3.8       | External power supply output marking .....                                 |   | N/A     |
| F.3.9       | Durability, legibility and permanence of marking                           | Marking is considered to be legible and easily discernible. See also the following details. | P       |
| F.3.10      | Test for permanence of markings  |   | P       |
| <b>F.4</b>  | <b>Instructions</b>  |   | P       |
|             | a) Information prior to installation and initial use                       |   | P       |
|             | b) Equipment for use in locations where children not likely to be present  |   | N/A     |
|             | c) Instructions for installation and interconnection                       |   | P       |
|             | Equipment intended for use only in restricted access area                  |   | N/A     |
|             | d) Equipment intended to be fastened in place                              | No such terminals provided.   | N/A     |
|             | e) Instructions for audio equipment terminals                              |   | N/A     |
|             | f) Protective earthing used as a safeguard                                 |   | N/A     |
|             | g) Protective conductor current exceeding ES2 limits                       |   | N/A     |
|             | h) Graphic symbols used on equipment                                       |   | P       |
|             | i) Permanently connected equipment not provided with all-pole mains switch | Not permanently connected equipment.  | N/A     |
|             | j) Replaceable components or modules providing safeguard function          | No such markings.   | N/A     |
|             | k) Equipment containing insulating liquid                                  |   | N/A     |



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|-------------|--|--------------------------|---------|
| Clause      | Requirement + Test   | Result - Remark          | Verdict |
|             | I) Installation instructions for outdoor equipment   |                          | N/A     |
| <b>F.5</b>  | Instructional safeguards   |                          | P       |
| <b>G</b>    | <b>COMPONENTS</b>  |                          | N/A     |
| <b>G.1</b>  | <b>Switches</b>  |                          | N/A     |
| G.1.1       | General  |                          | N/A     |
| G.1.2       | Ratings, endurance, spacing, maximum load  |                          | N/A     |
| G.1.3       | Test method and compliance   |                          | N/A     |
| <b>G.2</b>  | <b>Relays</b>  |                          | N/A     |
| G.2.1       | Requirements   | No such device used      | N/A     |
| G.2.2       | Overload test  |                          | N/A     |
| G.2.3       | Relay controlling connectors supplying power to other equipment                                  |                          | N/A     |
| G.2.4       | Test method and compliance   |                          | N/A     |
| <b>G.3</b>  | <b>Protective devices</b>  |                          | N/A     |
| G.3.1       | Thermal cut-offs   |                          | N/A     |
|             | Thermal cut-outs separately approved according to IEC 60730 with conditions indicated in a) & b) |                          | N/A     |
|             | Thermal cut-outs tested as part of the equipment as indicated in c)                              |                          | N/A     |
| G.3.1.2     | Test method and compliance   |                          | N/A     |
| G.3.2       | Thermal links  |                          | N/A     |
| G.3.2.1     | a) Thermal links tested separately according to IEC 60691 with specifics                         |                          | N/A     |
|             | b) Thermal links tested as part of the equipment   |                          | N/A     |
| G.3.2.2     | Test method and compliance   |                          | N/A     |
| G.3.3       | PTC thermistors  | No PTCs                  | N/A     |
| G.3.4       | Overcurrent protection devices   |                          | N/A     |
| G.3.5       | Safeguards components not mentioned in G.3.1 to G.3.4  |                          | N/A     |
| G.3.5.1     | Non-resettable devices suitably rated and marking provided                                       |                          | N/A     |
| G.3.5.2     | Single faults conditions .....   | (See appended table B.4) | N/A     |
| <b>G.4</b>  | <b>Connectors</b>  |                          | N/A     |
| G.4.1       | Spacings   |                          | N/A     |
| G.4.2       | Mains connector configuration .....  |                          | N/A     |



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|-------------|--|---------------------|---------|
| Clause      | Requirement + Test   | Result - Remark     | Verdict |
| G.4.3       | Plug is shaped that insertion into mains socket-outlets or appliance coupler is unlikely |                     | N/A     |
| <b>G.5</b>  | <b>Wound components</b>  |                     | N/A     |
| G.5.1       | Wire insulation in wound components  | No such device used | N/A     |
| G.5.1.2     | Protection against mechanical stress   |                     | N/A     |
| G.5.2       | Endurance test   |                     | N/A     |
| G.5.2.1     | General test requirements  |                     | N/A     |
| G.5.2.2     | Heat run test  |                     | N/A     |
|             | Test time (days per cycle)..... :  |                     | —       |
|             | Test temperature (°C) ..... :  |                     | —       |
| G.5.2.3     | Wound components supplied from the mains   |                     | N/A     |
| G.5.2.4     | No insulation breakdown  |                     | N/A     |
| G.5.3       | Transformers   |                     | N/A     |
| G.5.3.1     | Compliance method..... :   |                     | N/A     |
|             | Position ..... :   |                     | N/A     |
|             | Method of protection ..... :   |                     | N/A     |
| G.5.3.2     | Insulation   |                     | N/A     |
|             | Protection from displacement of windings ..... :   |                     | —       |
| G.5.3.3     | Transformer overload tests   |                     | N/A     |
| G.5.3.3.1   | Test conditions  |                     | N/A     |
| G.5.3.3.2   | Winding temperatures   |                     | N/A     |
| G.5.3.3.3   | Winding temperatures - alternative test method   |                     | N/A     |
| G.5.3.4     | Transformers using FIW   |                     | N/A     |
| G.5.3.4.1   | General  |                     | N/A     |
|             | FIW wire nominal diameter ..... :  |                     | —       |
| G.5.3.4.2   | Transformers with basic insulation only  |                     | N/A     |
| G.5.3.4.3   | Transformers with double insulation or reinforced insulation..... :                      |                     | N/A     |
| G.5.3.4.4   | Transformers with FIW wound on metal or ferrite core                                     |                     | N/A     |
| G.5.3.4.5   | Thermal cycling test and compliance  |                     | N/A     |
| G.5.3.4.6   | Partial discharge test   |                     | N/A     |
| G.5.3.4.7   | Routine test   |                     | N/A     |
| G.5.4       | Motors   |                     | N/A     |
| G.5.4.1     | General requirements   |                     | N/A     |

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|-------------|---|-----------------|---------|
| Clause      | Requirement + Test  | Result - Remark | Verdict |
| G.5.4.2     | Motor overload test conditions  |                 | N/A     |
| G.5.4.3     | Running overload test   |                 | N/A     |
| G.5.4.4.2   | Locked-rotor overload test  |                 | N/A     |
|             | Test duration (days) .....  |                 | —       |
| G.5.4.5     | Running overload test for DC motors                                     |                 | N/A     |
| G.5.4.5.2   | Tested in the unit  |                 | N/A     |
| G.5.4.5.3   | Alternative method  |                 | N/A     |
| G.5.4.6     | Locked-rotor overload test for DC motors                                |                 | N/A     |
| G.5.4.6.2   | Tested in the unit  |                 | N/A     |
|             | Maximum Temperature .....   |                 | N/A     |
| G.5.4.6.3   | Alternative method  |                 | N/A     |
| G.5.4.7     | Motors with capacitors  |                 | N/A     |
| G.5.4.8     | Three-phase motors  |                 | N/A     |
| G.5.4.9     | Series motors   |                 | N/A     |
|             | Operating voltage .....   |                 | —       |
| <b>G.6</b>  | <b>Wire Insulation</b>  |                 | N/A     |
| G.6.1       | General   |                 | N/A     |
| G.6.2       | Enamelled winding wire insulation                                       |                 | N/A     |
| <b>G.7</b>  | <b>Mains supply cords</b>   |                 | N/A     |
| G.7.1       | General requirements  |                 | N/A     |
|             | Type .....  |                 | —       |
| G.7.2       | Cross sectional area (mm <sup>2</sup> or AWG) .....                     |                 | N/A     |
| G.7.3       | Cord anchorages and strain relief for non-detachable power supply cords |                 | N/A     |
| G.7.3.2     | Cord strain relief  |                 | N/A     |
| G.7.3.2.1   | Requirements  |                 | N/A     |
|             | Strain relief test force (N) .....                                      |                 | N/A     |
| G.7.3.2.2   | Strain relief mechanism failure   |                 | N/A     |
| G.7.3.2.3   | Cord sheath or jacket position, distance (mm) .....                     |                 | N/A     |
| G.7.3.2.4   | Strain relief and cord anchorage material                               |                 | N/A     |
| G.7.4       | Cord Entry  |                 | N/A     |
| G.7.5       | Non-detachable cord bend protection                                     |                 | N/A     |
| G.7.5.1     | Requirements  |                 | N/A     |
| G.7.5.2     | Test method and compliance  |                 | N/A     |

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| Clause      | Requirement + Test   | Result - Remark                         | Verdict |
|             | Overall diameter or minor overall dimension, $D$ (mm)..... : |   | —       |
|             | Radius of curvature after test (mm) ..... :                  |   | —       |
| G.7.6       | Supply wiring space  |   | N/A     |
| G.7.6.1     | General requirements   |   | N/A     |
| G.7.6.2     | Stranded wire  |   | N/A     |
| G.7.6.2.1   | Requirements   |   | N/A     |
| G.7.6.2.2   | Test with 8 mm strand  | (See appended table 4.1.2)              | N/A     |
| <b>G.8</b>  | <b>Varistors</b>   |   | N/A     |
| G.8.1       | General requirements   | No such components used                 | N/A     |
| G.8.2       | Safeguards against fire                                      |   | N/A     |
| G.8.2.1     | General  |   | N/A     |
| G.8.2.2     | Varistor overload test                                       |   | N/A     |
| G.8.2.3     | Temporary overvoltage test                                   |   | N/A     |
| <b>G.9</b>  | <b>Integrated circuit (IC) current limiters</b>              |   | N/A     |
| G.9.1       | Requirements   |   |         |
|             | IC limiter output current (max. 5A)..... :                   |   | —       |
|             | Manufacturers' defined drift ..... :                         |   | —       |
| G.9.2       | Test Program   |   | N/A     |
| G.9.3       | Compliance   |   | N/A     |
| <b>G.10</b> | <b>Resistors</b>   |   | N/A     |
| G.10.1      | General  | Resistor bridging functional insulation | N/A     |
| G.10.2      | Conditioning   |   | N/A     |
| G.10.3      | Resistor test  |   | N/A     |
| G.10.4      | Voltage surge test   |   | N/A     |
| G.10.5      | Impulse test   |   | N/A     |
| G.10.6      | Overload test  |   | N/A     |
| <b>G.11</b> | <b>Capacitors and RC units</b>                               |   | N/A     |
| G.11.1      | General requirements   |   | N/A     |
| G.11.2      | Conditioning of capacitors and RC units                      |   | N/A     |
| G.11.3      | Rules for selecting capacitors                               |   | N/A     |
| <b>G.12</b> | <b>Optocouplers</b>  |   | N/A     |
|             | Optocouplers comply with IEC 60747-5-5 with specifics        |   | N/A     |

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| Clause      | Requirement + Test  | Result - Remark             | Verdict |
|             | Type test voltage $V_{ini,a}$ ..... :   |                             | —       |
|             | Routine test voltage, $V_{ini,b}$ ..... :   |                             | —       |
| <b>G.13</b> | <b>Printed boards</b>   |                             | P       |
| G.13.1      | General requirements  | Approved Printed board used | P       |
| G.13.2      | Uncoated printed boards   |                             | P       |
| G.13.3      | Coated printed boards   |                             | N/A     |
| G.13.4      | Insulation between conductors on the same inner surface   |                             | N/A     |
| G.13.5      | Insulation between conductors on different surfaces   |                             | N/A     |
|             | Distance through insulation ..... :   |                             | N/A     |
|             | Number of insulation layers (pcs) ..... :   |                             | —       |
| G.13.6      | Tests on coated printed boards  |                             | N/A     |
| G.13.6.1    | Sample preparation and preliminary inspection   |                             | N/A     |
| G.13.6.2    | Test method and compliance  |                             | N/A     |
| <b>G.14</b> | <b>Coating on components terminals</b>  |                             | N/A     |
| G.14.1      | Requirements ..... :  | (See Clause G.13)           | N/A     |
| <b>G.15</b> | <b>Pressurized liquid filled components</b>   |                             | N/A     |
| G.15.1      | Requirements  |                             | N/A     |
| G.15.2      | Test methods and compliance   |                             | N/A     |
| G.15.2.1    | Hydrostatic pressure test   |                             | N/A     |
| G.15.2.2    | Creep resistance test   |                             | N/A     |
| G.15.2.3    | Tubing and fittings compatibility test  |                             | N/A     |
| G.15.2.4    | Vibration test  |                             | N/A     |
| G.15.2.5    | Thermal cycling test  |                             | N/A     |
| G.15.2.6    | Force test  |                             | N/A     |
| G.15.3      | Compliance  |                             | N/A     |
| <b>G.16</b> | <b>IC including capacitor discharge function (ICX)</b>  |                             | N/A     |
| G.16.1      | Condition for fault tested is not required  |                             | N/A     |
|             | ICX with associated circuitry tested in equipment   |                             | N/A     |
|             | ICX tested separately   |                             | N/A     |
| G.16.2      | Tests   |                             | N/A     |
|             | Smallest capacitance and smallest resistance specified by ICX manufacturer for impulse test ..... : |                             | —       |
|             | Mains voltage that impulses to be superimposed on ..... :   |                             | —       |

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| Clause         | Requirement + Test  |                            | Verdict |
|                | Largest capacitance and smallest resistance for ICX tested by itself for 10000 cycles test..... :             |                            | —       |
| G.16.3         | Capacitor discharge test..... :   |                            | N/A     |
| <b>H</b>       | <b>CRITERIA FOR TELEPHONE RINGING SIGNALS</b>   |                            | N/A     |
| <b>H.1</b>     | <b>General</b>  |                            | N/A     |
| <b>H.2</b>     | <b>Method A</b>   |                            | N/A     |
| <b>H.3</b>     | <b>Method B</b>   |                            | N/A     |
| H.3.1          | Ringing signal  |                            | N/A     |
| H.3.1.1        | Frequency (Hz) ..... :  | Not such apparatus         | —       |
| H.3.1.2        | Voltage (V) ..... :   |                            | —       |
| H.3.1.3        | Cadence; time (s) and voltage (V) ..... :   |                            | —       |
| H.3.1.4        | Single fault current (mA): ..... :  |                            | —       |
| H.3.2          | Tripping device and monitoring voltage  |                            | N/A     |
| H.3.2.1        | Conditions for use of a tripping device or a monitoring voltage   |                            | N/A     |
| H.3.2.2        | Tripping device   |                            | N/A     |
| H.3.2.3        | Monitoring voltage (V)..... :   |                            | N/A     |
| <b>J</b>       | <b>INSULATED WINDING WIRES FOR USE WITHOUT INTERLEAVED INSULATION</b>   |                            | N/A     |
| <b>J.1</b>     | <b>General</b>  |                            | N/A     |
|                | Winding wire insulation ..... :   | No such winding wire used  | —       |
|                | Solid round winding wire, diameter (mm) ..... :   |                            | N/A     |
|                | Solid square and rectangular (flatwise bending) winding wire, cross-sectional area (mm <sup>2</sup> ) ..... : |                            | N/A     |
| <b>J.2/J.3</b> | Tests and Manufacturing   | (See separate test report) | —       |
| <b>K</b>       | <b>SAFETY INTERLOCKS</b>  |                            | N/A     |
| <b>K.1</b>     | <b>General requirements</b>   |                            | N/A     |
|                | Instructional safeguard ..... :   |                            | N/A     |
| <b>K.2</b>     | <b>Components of safety interlock safeguard mechanism</b>   |                            | N/A     |
| <b>K.3</b>     | <b>Inadvertent change of operating mode</b>   |                            | N/A     |
| <b>K.4</b>     | <b>Interlock safeguard override</b>   |                            | N/A     |
| <b>K.5</b>     | <b>Fail-safe</b>  |                            | N/A     |
| K.5.1          | Under single fault condition  |                            | N/A     |
| <b>K.6</b>     | <b>Mechanically operated safety interlocks</b>  |                            | N/A     |
| K.6.1          | Endurance requirement   |                            | N/A     |
| K.6.2          | Test method and compliance..... :   |                            | N/A     |
| <b>K.7</b>     | <b>Interlock circuit isolation</b>  |                            | N/A     |

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|-------------|--|---|---------|
| Clause      | Requirement + Test   | Result - Remark                           | Verdict |
| K.7.1       | Separation distance for contact gaps & interlock circuit elements                |   | N/A     |
|             | In circuit connected to mains, separation distance for contact gaps (mm)..... :  |   | N/A     |
|             | In circuit isolated from mains, separation distance for contact gaps (mm)..... : |   | N/A     |
|             | Electric strength test before and after the test of K.7.2..... :                 | (See appended table 5.4.9)                | N/A     |
| K.7.2       | Overload test, Current (A)..... :  |   | N/A     |
| K.7.3       | Endurance test   |   | N/A     |
| K.7.4       | Electric strength test   |   | N/A     |
| <b>L</b>    | <b>DISCONNECT DEVICES</b>  |   | N/A     |
| L.1         | General requirements   |   | N/A     |
| L.2         | Permanently connected equipment  |   | N/A     |
| L.3         | Parts that remain energized  |   | N/A     |
| L.4         | Single-phase equipment   |   | N/A     |
| L.5         | Three-phase equipment  |   | N/A     |
| L.6         | Switches as disconnect devices   |   | N/A     |
| L.7         | Plugs as disconnect devices  |   | N/A     |
| L.8         | Multiple power sources   |   | N/A     |
|             | Instructional safeguard ..... :  |   | N/A     |
| <b>M</b>    | <b>EQUIPMENT CONTAINING BATTERIES AND THEIR PROTECTION CIRCUITS</b>              |   | P       |
| M.1         | General requirements   |   | P       |
| M.2         | Safety of batteries and their cells  |   | P       |
| M.2.1       | Batteries and their cells comply with relevant IEC standards ..... :             | The battery complied with IEC 62133       | P       |
| M.3         | Protection circuits for batteries provided within the equipment                  |   | P       |
| M.3.1       | Requirements   |   | P       |
| M.3.2       | Test method  |   | P       |
|             | Overcharging of a rechargeable battery   |   | P       |
|             | Excessive discharging  |   | P       |
|             | Unintentional charging of a non-rechargeable battery                             |   | N/A     |
|             | Reverse charging of a rechargeable battery                                       |   | N/A     |
| M.3.3       | Compliance   | (See appended tables and Annex M and M.4) | P       |



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|-------------|--|-----------------|---------|
| Clause      | Requirement + Test   | Result - Remark | Verdict |
| <b>M.4</b>  | <b>Additional safeguards for equipment containing a portable secondary lithium battery</b>             |                 | P       |
| M.4.1       | General  |                 | P       |
| M.4.2       | Charging safeguards  |                 | P       |
| M.4.2.1     | Requirements   |                 | P       |
| M.4.2.2     | Compliance .....   |                 | P       |
| M.4.3       | Fire enclosure .....   |                 | P       |
| M.4.4       | Drop test of equipment containing a secondary lithium battery  |                 | P       |
| M.4.4.2     | Preparation and procedure for the drop test  |                 | P       |
| M.4.4.3     | Drop, Voltage on reference and dropped batteries (V); voltage difference during 24 h period (%): ..... |                 | P       |
| M.4.4.4     | Check of the charge/discharge function   |                 | P       |
| M.4.4.5     | Charge / discharge cycle test  |                 | P       |
| M.4.4.6     | Compliance   |                 | P       |
| <b>M.5</b>  | <b>Risk of burn due to short-circuit during carrying</b>   |                 | N/A     |
| M.5.1       | Requirement  |                 | N/A     |
| M.5.2       | Test method and compliance   |                 | N/A     |
| <b>M.6</b>  | <b>Safeguards against short-circuits</b>   |                 | P       |
| M.6.1       | External and internal faults   |                 | P       |
| M.6.2       | Compliance   |                 | P       |
| <b>M.7</b>  | <b>Risk of explosion from lead acid and NiCd batteries</b>   |                 | N/A     |
| M.7.1       | Ventilation preventing explosive gas concentration   |                 | N/A     |
|             | Calculated hydrogen generation rate .....  |                 | N/A     |
| M.7.2       | Test method and compliance   |                 | N/A     |
|             | Minimum air flow rate, Q (m <sup>3</sup> /h) .....   |                 | N/A     |
| M.7.3       | Ventilation tests  |                 | N/A     |
| M.7.3.1     | General  |                 | N/A     |
| M.7.3.2     | Ventilation test – alternative 1   |                 | N/A     |
|             | Hydrogen gas concentration (%) .....   |                 | N/A     |
| M.7.3.3     | Ventilation test – alternative 2   |                 | N/A     |
|             | Obtained hydrogen generation rate .....  |                 | N/A     |
| M.7.3.4     | Ventilation test – alternative 3   |                 | N/A     |
|             | Hydrogen gas concentration (%) .....   |                 | N/A     |
| M.7.4       | Marking .....  |                 | N/A     |

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|-------------|---|--|---------|
| Clause      | Requirement + Test  | Result - Remark                                      | Verdict |
| <b>M.8</b>  | <b>Protection against internal ignition from external spark sources of batteries with aqueous electrolyte</b> |  | N/A     |
| M.8.1       | General   |  | N/A     |
| M.8.2       | Test method   |  | N/A     |
| M.8.2.1     | General   |  | N/A     |
| M.8.2.2     | Estimation of hypothetical volume $V_2$ (m <sup>3</sup> /s)..... :  |  | —       |
| M.8.2.3     | Correction factors .....  |  | —       |
| M.8.2.4     | Calculation of distance $d$ (mm) .....  |  | —       |
| <b>M.9</b>  | <b>Preventing electrolyte spillage</b>  |  | P       |
| M.9.1       | Protection from electrolyte spillage  | See below  |         |
| M.9.2       | Tray for preventing electrolyte spillage  | The metallic enclosure of battery used as container  | P       |
| <b>M.10</b> | Instructions to prevent reasonably foreseeable misuse   |  | P       |
|             | Instructional safeguard .....   | Instructional safeguards provided in the user manual | P       |
| <b>N</b>    | <b>ELECTROCHEMICAL POTENTIALS</b>   |  | N/A     |
|             | Material(s) used .....  |  | —       |
| <b>O</b>    | <b>MEASUREMENT OF CREEPAGE DISTANCES AND CLEARANCES</b>   |  | N/A     |
|             | Value of $X$ (mm) .....   |  | —       |
| <b>P</b>    | <b>SAFEGUARDS AGAINST CONDUCTIVE OBJECTS</b>  |  | N/A     |
| <b>P.1</b>  | <b>General</b>  | No opening   | N/A     |
| <b>P.2</b>  | <b>Safeguards against entry or consequences of entry of a foreign object</b>                                  |  | N/A     |
| P.2.1       | General   |  | N/A     |
| P.2.2       | Safeguards against entry of a foreign object  | Internal fire enclosure used                         | N/A     |
|             | Location and Dimensions (mm) .....  |  | —       |
| P.2.3       | Safeguards against the consequences of entry of a foreign object  |  | N/A     |
| P.2.3.1     | Safeguard requirements  |  | N/A     |
|             | The ES3 and PS3 keep-out volume in Figure P.3 not applicable to transportable equipment                       |  | N/A     |
|             | Transportable equipment with metalized plastic parts .....  |  | N/A     |
| P.2.3.2     | Consequence of entry test..... :  |  | N/A     |
| <b>P.3</b>  | <b>Safeguards against spillage of internal liquids</b>  |  | N/A     |
| P.3.1       | General   |  | N/A     |
| P.3.2       | Determination of spillage consequences  |  | N/A     |

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| Clause      | Requirement + Test  | Result - Remark          | Verdict |
| P.3.3       | Spillage safeguards   |                          | N/A     |
| P.3.4       | Compliance  |                          | N/A     |
| <b>P.4</b>  | <b>Metallized coatings and adhesives securing parts</b>   |                          | N/A     |
| P.4.1       | General   |                          | N/A     |
| P.4.2       | Tests   |                          | N/A     |
|             | Conditioning, T <sub>c</sub> (°C) .....   |                          | —       |
|             | Duration (weeks).....   |                          | —       |
| <b>Q</b>    | <b>CIRCUITS INTENDED FOR INTERCONNECTION WITH BUILDING WIRING</b>   |                          | N/A     |
| <b>Q.1</b>  | <b>Limited power sources</b>  |                          | N/A     |
| Q.1.1       | Requirements  |                          | N/A     |
|             | a) Inherently limited output  |                          | N/A     |
|             | b) Impedance limited output   |                          | N/A     |
|             | c) Regulating network limited output  | (See table annex Q.1)    | N/A     |
|             | d) Overcurrent protective device limited output   |                          | N/A     |
|             | e) IC current limiter complying with G.9  |                          | N/A     |
| Q.1.2       | Test method and compliance.....   | (See appended table Q.1) | N/A     |
|             | Current rating of overcurrent protective device (A) .....   |                          | N/A     |
| <b>Q.2</b>  | <b>Test for external circuits – paired conductor cable</b>  |                          | N/A     |
|             | Maximum output current (A) .....  |                          | N/A     |
|             | Current limiting method.....  |                          | —       |
| <b>R</b>    | <b>LIMITED SHORT CIRCUIT TEST</b>   |                          | N/A     |
| <b>R.1</b>  | <b>General</b>  |                          | N/A     |
| <b>R.2</b>  | <b>Test setup</b>   |                          | N/A     |
|             | Overcurrent protective device for test.....   |                          | —       |
| <b>R.3</b>  | <b>Test method</b>  |                          | N/A     |
|             | Cord/cable used for test.....   |                          | —       |
| <b>R.4</b>  | <b>Compliance</b>   |                          | N/A     |
| <b>S</b>    | <b>TESTS FOR RESISTANCE TO HEAT AND FIRE</b>  |                          | N/A     |
| <b>S.1</b>  | <b>Flammability test for fire enclosures and fire barrier materials of equipment where the steady state power does not exceed 4 000 W</b> |                          | N/A     |
|             | Samples, material .....   |                          | —       |
|             | Wall thickness (mm) .....   |                          | —       |
|             | Conditioning (°C) .....   |                          | —       |

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| Clause      | Requirement + Test  | Result - Remark          | Verdict |
|             | Test flame according to IEC 60695-11-5 with conditions as set out   |                          | N/A     |
|             | - Material not consumed completely  |                          | N/A     |
|             | - Material extinguishes within 30s  |                          | N/A     |
|             | - No burning of layer or wrapping tissue  |                          | N/A     |
| <b>S.2</b>  | <b>Flammability test for fire enclosure and fire barrier integrity</b>  |                          | N/A     |
|             | Samples, material .....   |                          | —       |
|             | Wall thickness (mm) .....   |                          | —       |
|             | Conditioning (°C) .....   |                          | —       |
| <b>S.3</b>  | <b>Flammability test for the bottom of a fire enclosure</b>   |                          | N/A     |
| S.3.1       | Mounting of samples   |                          | N/A     |
| S.3.2       | Test method and compliance  |                          | N/A     |
|             | Mounting of samples .....   |                          | —       |
|             | Wall thickness (mm) .....   |                          | —       |
| <b>S.4</b>  | <b>Flammability classification of materials</b>   |                          | N/A     |
| <b>S.5</b>  | <b>Flammability test for fire enclosures and fire barrier materials of equipment where the steady state power exceeding 4 000 W</b> |                          | N/A     |
|             | Samples, material .....   |                          | —       |
|             | Wall thickness (mm) .....   |                          | —       |
|             | Conditioning (°C) .....   |                          | —       |
| <b>T</b>    | <b>MECHANICAL STRENGTH TESTS</b>  |                          | P       |
| <b>T.1</b>  | General   |                          | P       |
| <b>T.2</b>  | Steady force test, 10 N .....   |                          | N/A     |
| <b>T.3</b>  | Steady force test, 30 N .....   |                          | N/A     |
| <b>T.4</b>  | Steady force test, 100 N .....  | (See appended table T.4) | P       |
| <b>T.5</b>  | Steady force test, 250 N .....  |                          | N/A     |
| <b>T.6</b>  | Enclosure impact test   |                          | N/A     |
|             | Fall test   |                          | N/A     |
|             | Swing test  |                          | N/A     |
| <b>T.7</b>  | Drop test .....   | (See appended table T.7) | P       |
| <b>T.8</b>  | Stress relief test .....  | (See appended table T.8) | P       |
| <b>T.9</b>  | Glass Impact Test .....   |                          | N/A     |
| <b>T.10</b> | Glass fragmentation test  |                          | N/A     |
|             | Number of particles counted .....   |                          | N/A     |
| <b>T.11</b> | Test for telescoping or rod antennas  |                          | N/A     |

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| Clause      | Requirement + Test  |                        | Verdict |
|             | Torque value (Nm) .....   |                        | N/A     |
| <b>U</b>    | <b>MECHANICAL STRENGTH OF CATHODE RAY TUBES (CRT) AND PROTECTION AGAINST THE EFFECTS OF IMPLOSION</b>   |                        | N/A     |
| <b>U.1</b>  | General   |                        | N/A     |
|             | Instructional safeguard :   |                        | N/A     |
| <b>U.2</b>  | Test method and compliance for non-intrinsically protected CRTs   |                        | N/A     |
| <b>U.3</b>  | Protective screen   |                        | N/A     |
| <b>V</b>    | <b>DETERMINATION OF ACCESSIBLE PARTS</b>  |                        | N/A     |
| <b>V.1</b>  | <b>Accessible parts of equipment</b>  |                        | N/A     |
| V.1.1       | General   |                        | N/A     |
| V.1.2       | Surfaces and openings tested with jointed test probes   |                        | N/A     |
| V.1.3       | Openings tested with straight unjointed test probes   |                        | N/A     |
| V.1.4       | Plugs, jacks, connectors tested with blunt probe  |                        | N/A     |
| V.1.5       | Slot openings tested with wedge probe   |                        | N/A     |
| V.1.6       | Terminals tested with rigid test wire   |                        | N/A     |
| <b>V.2</b>  | <b>Accessible part criterion</b>  |                        | N/A     |
| <b>X</b>    | <b>ALTERNATIVE METHOD FOR DETERMINING CLEARANCES FOR INSULATION IN CIRCUITS CONNECTED TO AN AC MAINS NOT EXCEEDING 420 V PEAK (300 V RMS)</b> |                        | N/A     |
|             | Clearance .....   | (See appended table X) | N/A     |
| <b>Y</b>    | <b>CONSTRUCTION REQUIREMENTS FOR OUTDOOR ENCLOSURES</b>   |                        | N/A     |
| <b>Y.1</b>  | <b>General</b>  |                        | N/A     |
| <b>Y.2</b>  | <b>Resistance to UV radiation</b>   |                        | N/A     |
| <b>Y.3</b>  | <b>Resistance to corrosion</b>  |                        | N/A     |
| <b>Y.3</b>  | <b>Resistance to corrosion</b>  |                        | N/A     |
| Y.3.1       | Metallic parts of outdoor enclosures are resistant to effects of water-borne contaminants by..... :   |                        | N/A     |
| Y.3.2       | Test apparatus  |                        | N/A     |
| Y.3.3       | Water – saturated sulphur dioxide atmosphere  |                        | N/A     |
| Y.3.4       | Test procedure .....  |                        | N/A     |
| Y.3.5       | Compliance  |                        | N/A     |
| <b>Y.4</b>  | <b>Gaskets</b>  |                        | N/A     |
| Y.4.1       | General   |                        | N/A     |
| Y.4.2       | Gasket tests  |                        | N/A     |
| Y.4.3       | Tensile strength and elongation tests   |                        | N/A     |



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| Clause      | Requirement + Test   | Result - Remark | Verdict |
|             | Alternative test methods .....                             |                 | N/A     |
| Y.4.4       | Compression test   |                 | N/A     |
| Y.4.5       | Oil resistance   |                 | N/A     |
| Y.4.6       | Securing means   |                 | N/A     |
| <b>Y.5</b>  | <b>Protection of equipment within an outdoor enclosure</b> |                 | N/A     |
| Y.5.1       | General  |                 | N/A     |
| Y.5.2       | Protection from moisture                                   |                 | N/A     |
|             | Relevant tests of IEC 60529 or Y.5.3 .....                 |                 | N/A     |
| Y.5.3       | Water spray test   |                 | N/A     |
| Y.5.4       | Protection from plants and vermin                          |                 | N/A     |
| Y.5.5       | Protection from excessive dust                             |                 | N/A     |
| Y.5.5.1     | General  |                 | N/A     |
| Y.5.5.2     | IP5X equipment   |                 | N/A     |
| Y.5.5.3     | IP6X equipment   |                 | N/A     |
| <b>Y.6</b>  | <b>Mechanical strength of enclosures</b>                   |                 | N/A     |
| Y.6.1       | General  |                 | N/A     |
| Y.6.2       | Impact test .....  |                 | N/A     |

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|-------------|--------------------|-----------------|---------|
| Clause      | Requirement + Test | Result - Remark | Verdict |

| 5.2                              | TABLE: Classification of electrical energy sources |                       |            |        |                    |                               | P        |
|----------------------------------|--|-----------------------|------------|--------|--------------------|-------------------------------|----------|
| Supply Voltage                   | Location (e.g. circuit designation)                | Test conditions       | Parameters |        |                    |                               | ES Class |
|                                  |  |                       | U (V)      | I (mA) | Type <sup>1)</sup> | Additional Info <sup>2)</sup> |          |
| Powered by fully charged battery | Battery pack "+" and "-"                           | Normal                | 4.26V      | --     | SS                 | DC                            | ES1      |
|                                  |  | Abnormal              | 2.04V      | --     | SS                 | DC                            |          |
|                                  |  | Single fault – SC C64 | 0V         | --     | SS                 | DC                            |          |
| 5VDC                             | All Internal circuits                              | Normal                | 5V         | --     | SS                 | DC                            | ES1      |
|                                  |  | Abnormal              | --         | --     | --                 | --                            |          |
|                                  |  | Single fault – SC/OC  | --         | --     | --                 | --                            |          |

Supplementary information:

1) Type: Steady state (SS), Capacitance (CP), Single pulse (SP), Repetitive pulses (RP), etc.

2) Additional Info: Frequency, Pulse duration, Pulse off time, Capacitance value, etc.

#### 5.2.2.3 – Capacitance Limits

| No. | Supply Voltage | Location (e.g. circuit designation) | Test conditions | Parameters      |         | ES Class |
|-----|----------------|-------------------------------------|-----------------|-----------------|---------|----------|
|     |                |                                     |                 | Capacitance, nF | Upk (V) |          |
| 1   | --             | --                                  | normal          | --              | --      | --       |
|     |                |                                     | Abnormal        | --              | --      | --       |
|     |                |                                     | Single fault:   | --              | --      | --       |

Note: All modes are considered, the maximum values are shown in the above table.

#### 5.2.2.4 – Single Pulses

| No. | Supply Voltage | Location (e.g. circuit designation) | Test conditions      | Parameters    |         |          | ES Class |
|-----|----------------|-------------------------------------|----------------------|---------------|---------|----------|----------|
|     |                |                                     |                      | Duration (ms) | Upk (V) | Ipk (mA) |          |
| --  | --             | --                                  | Normal               | --            | --      | --       | --       |
|     |                |                                     | Abnormal             | --            | --      | --       |          |
|     |                |                                     | Single fault – SC/OC | --            | --      | --       |          |

| 5.4.1.8  | TABLE: Working voltage measurement |                  |                |          |  | N/A |
|----------|------------------------------------|------------------|----------------|----------|--|-----|
| Location | RMS voltage (V)                    | Peak voltage (V) | Frequency (Hz) | Comments |  |     |

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|----------------------------|--------------------|----|-----------------|---------|
| Clause                     | Requirement + Test |    | Result - Remark | Verdict |
| --                         | --                 | -- | --              | --      |
| --                         | --                 | -- | --              | --      |
| Supplementary information: |                    |    |                 |         |

|                            |  |                |                  |     |
|----------------------------|--|----------------|------------------|-----|
| 5.4.1.10.2                 | TABLE: Vicat softening temperature of thermoplastics |                |                  | N/A |
| Method ..... :             |  |                | —                |     |
| Object/ Part No./Material  | Manufacturer/trademark                               | Thickness (mm) | T softening (°C) |     |
| --                         | --   | --             | --               |     |
| --                         | --   | --             | --               |     |
| Supplementary information: |  |                |                  |     |

|  |   |                |                       |                          |     |
|--|---|----------------|-----------------------|--------------------------|-----|
| 5.4.1.10.3                             | TABLE: Ball pressure test of thermoplastics |                |                       |                          | N/A |
| Allowed impression diameter (mm) ..... |   |                | --                    |                          | —   |
| Object/Part No./Material               | Manufacturer/trademark                      | Thickness (mm) | Test temperature (°C) | Impression diameter (mm) |     |
| --                                     | --  | --             | --                    | --                       |     |
| Supplementary information:             |   |                |                       |                          |     |

|  |  |                      |                         |                  |         |                        |                  |         |
|--|--|----------------------|-------------------------|------------------|---------|------------------------|------------------|---------|
| <b>5.4.2, 5.4.3</b>                                      | <b>TABLE: Minimum Clearances/Creepage distance</b> |                      |                         |                  |         |                        |                  | N/A     |
| Clearance (cl) and creepage distance (cr) at/of/between: | U <sub>p</sub> (V)                                 | U <sub>rms</sub> (V) | Freq <sup>1)</sup> (Hz) | Required cl (mm) | cl (mm) | E.S. <sup>2)</sup> (V) | Required cr (mm) | cr (mm) |
| Functional insulation                                    |  |                      |                         |                  |         |                        |                  |         |
| --   | --   | --                   | --                      | --               | --      | --                     | --               | --      |
| --   | --   | --                   | --                      | --               | --      | --                     | --               | --      |
| Reinforced insulation                                    |  |                      |                         |                  |         |                        |                  |         |
| --   | --   | --                   | --                      | --               | --      | --                     | --               | --      |
| --   | --   | --                   | --                      | --               | --      | --                     | --               | --      |
| Supplementary information:                               |  |                      |                         |                  |         |                        |                  |         |

|   |   |            |                   |                   |     |
|---|---|------------|-------------------|-------------------|-----|
| <b>5.4.4.2</b>                          | <b>TABLE: Minimum distance through insulation</b> |            |                   |                   | N/A |
| Distance through insulation (DTI) at/of | Peak voltage (V)                                  | Insulation | Required DTI (mm) | Measured DTI (mm) |     |
| --                                      | --  | --         | --                | --                |     |
| Supplementary information:              |   |            |                   |                   |     |

| IEC 62368-1 |                    |                 |         |
|-------------|--------------------|-----------------|---------|
| Clause      | Requirement + Test | Result - Remark | Verdict |

|                            |  |                 |       |                    |            |                |     |
|----------------------------|--|-----------------|-------|--------------------|------------|----------------|-----|
| <b>5.4.4.9</b>             | <b>TABLE: Solid insulation at frequencies &gt;30 kHz</b> |                 |       |                    |            |                | N/A |
| Insulation material        | $E_P$  | Frequency (kHz) | $K_R$ | Thickness $d$ (mm) | Insulation | $V_{PW}$ (Vpk) |     |
| --                         | --   | --              | --    | --                 | --         | --             |     |
| Supplementary information: |  |                 |       |                    |            |                |     |

|                               |                                |  |                  |                       |
|-------------------------------|--------------------------------|--|------------------|-----------------------|
| 5.4.9                         | TABLE: Electric strength tests |  |                  | N/A                   |
| Test voltage applied between: |                                | Voltage shape<br>(Surge, Impulse, AC,<br>DC, etc.) | Test voltage (V) | Breakdown<br>Yes / No |
| Functional:                   |                                |  |                  |                       |
| --                            | --                             | --   | --               |                       |
| --                            | --                             | --   | --               |                       |
| Reinforced:                   |                                |  |                  |                       |
| --                            | --                             | --   | --               |                       |
| --                            | --                             | --   | --               |                       |
| --                            | --                             | --   | --               |                       |

|   |  |   |                 |                        |          |     |
|---|--|---|-----------------|------------------------|----------|-----|
| <b>5.5.2.2</b>  | <b>TABLE: Stored discharge on capacitors</b> |   |                 |                        |          | N/A |
| Location  | Supply voltage (V)                           | Operating and fault condition <sup>1)</sup> | Switch position | Measured voltage (Vpk) | ES Class |     |
| --  | --   | --  | --              | --                     | --       |     |
| --  | --   | --  | --              | --                     | --       |     |
| Supplementary information:  |  |   |                 |                        |          |     |
| X-capacitors installed for testing:   |  |   |                 |                        |          |     |
| <input type="checkbox"/> bleeding resistor rating:  |  |   |                 |                        |          |     |
| <input type="checkbox"/> ICX:   |  |   |                 |                        |          |     |
| 1) Normal operating condition (e.g., normal operation, or open fuse), SC= short circuit, OC= open circuit |  |   |                 |                        |          |     |

|                            |  |                |                  |                         |     |
|----------------------------|--|----------------|------------------|-------------------------|-----|
| <b>5.6.6</b>               | <b>TABLE: Resistance of protective conductors and terminations</b> |                |                  |                         | N/A |
| Location                   | Test current (A)   | Duration (min) | Voltage drop (V) | Resistance ( $\Omega$ ) |     |
| --                         | --   | --             | --               | --                      |     |
| Supplementary information: |  |                |                  |                         |     |

| IEC 62368-1 |                    |                 |         |
|-------------|--------------------|-----------------|---------|
| Clause      | Requirement + Test | Result - Remark | Verdict |

| <b>5.7.4</b>  | <b>TABLE: Unearthed accessible parts</b> |                    |  |  |            | N/A      |
|---|--|--------------------|--|--|------------|----------|
| Location  | Operating and fault conditions           | Supply Voltage (V) | Parameters                                     |  |            | ES class |
|   |  |                    | Voltage (V <sub>rms</sub> or V <sub>pk</sub> ) | Current (A <sub>rms</sub> or A <sub>pk</sub> ) | Freq. (Hz) |          |
| --  | --                                       | --                 | --   | --   | --         | --       |
| Supplementary information:<br>Abbreviation: SC= short circuit; OC= open circuit |  |                    |  |  |            |          |

|                                   |   |   |                    |         |
|-----------------------------------|---|---|--------------------|---------|
| 5.7.5                             | TABLE: Earthed accessible conductive part |   |                    | N/A     |
| Supply voltage (V) ..... :        |   |   |                    | —       |
| Phase(s) ..... :                  |   | [ ] Single Phase; [ ] Three Phase: [ ] Delta [ ] Wye                                |                    |         |
| Power Distribution System ..... : |   | <input type="checkbox"/> TN <input type="checkbox"/> TT <input type="checkbox"/> IT |                    |         |
| Location                          |   | Fault Condition No in IEC 60990 clause 6.2.2  | Touch current (mA) | Comment |
| --                                |   | --  | --                 | --      |
| Supplementary Information:        |   |   |                    |         |

| <b>5.8</b>                 | <b>TABLE: Backfeed safeguard in battery backed up supplies</b> |                               |          |                          |                   | N/A      |
|----------------------------|--|-------------------------------|----------|--------------------------|-------------------|----------|
| Location                   | Supply voltage (V)   | Operating and fault condition | Time (s) | Open-circuit voltage (V) | Touch current (A) | ES Class |
| --                         | --   | --                            | --       | --                       | --                | --       |
| Supplementary information: |  |                               |          |                          |                   |          |

| <b>6.2.2</b>                                | <b>TABLE: Power source circuit classifications</b> |             |             |                              |          | P                            |
|---|--|-------------|-------------|------------------------------|----------|------------------------------|
| Location                                    | Operating and fault condition                      | Voltage (V) | Current (A) | Max. Power <sup>1)</sup> (W) | Time (S) | PS class                     |
| All Internal circuits except Battery output | Normal   | --          | --          | --                           | 3        | PS2(Declare by Manufacturer) |
| Battery pack output                         | Normal   | 2.04        | 2.43        | 4.89                         | 3        | PS1                          |
|   | Single fault: C64 SC                               | 0           | 0           | 0                            | 3        | PS1                          |
| Battery cell output                         | Normal   | 1.87        | 3.28        | 6.06                         | 3        | PS1                          |
|   | Single fault                                       | --          | --          | --                           | --       | --                           |



| IEC 62368-1 |                    |                 |         |
|-------------|--------------------|-----------------|---------|
| Clause      | Requirement + Test | Result - Remark | Verdict |

Supplementary information:

Abbreviation: SC= short circuit; OC= open circuit

1) Measured after 3 s for PS1 and measured after 5 s for PS2 and PS3.

| <b>6.2.3.1</b> | <b>TABLE: Determination of Arcing PIS</b> |                            |                  |                      | N/A |
|----------------|---|----------------------------|------------------|----------------------|-----|
| Location       | Open circuit voltage after 3 s (Vpk)      | Measured r.m.s current (A) | Calculated value | Arcing PIS? Yes / No |     |
| --             | --  | --                         | --               | --                   | --  |

Supplementary information:

| <b>6.2.3.2</b>                              | <b>TABLE: Determination of resistive PIS</b> |                     |                      |  | P |
|---|--|---------------------|----------------------|--|---|
| Location                                    | Operating and fault condition                | Dissipate power (W) | Arcing PIS? Yes / No |  |   |
| All Internal circuits except Battery output | --   | --                  | Yes                  |  |   |

Abbreviation: SC= short circuit; OC= open circuit

All power dissipating components in primary and secondary circuit are considered as resistive PIS due to the available power as declared by manufacturer.

A combination of voltmeter, VA and ammeter IA may be used instead of a wattmeter.

If a separate voltmeter and ammeter are used, the product of (VA x IA) is used to determine Resistive PIS classification.

A Resistive PIS: (a) dissipates more than 15 W, measured after 30 s of normal operation, or (b) under single fault conditions has either a power exceeding 100 W measured immediately after the introduction of the fault if electronic circuits, regulators or PTC devices are used, or has an available power exceeding 15 W measured 30 s after introduction of the fault.

| <b>8.5.5</b>      | <b>TABLE: High pressure lamp</b> |                  |                                     |                                    | N/A |
|-------------------|----------------------------------|------------------|-------------------------------------|------------------------------------|-----|
| Lamp manufacturer | Lamp type                        | Explosion method | Longest axis of glass particle (mm) | Particle found beyond 1 m Yes / No |     |
| --                | --                               | --               | --                                  | --                                 | --  |

Supplementary information:

| IEC 62368-1                                  |   |              |                                  |              |                                       |              |                                       |              |
|--|---|--------------|----------------------------------|--------------|---------------------------------------|--------------|---------------------------------------|--------------|
| Clause                                       | Requirement + Test  |              |                                  |              | Result - Remark                       |              | Verdict                               |              |
| 9.6  | TABLE: Temperature measurements for wireless power transmitters |              |                                  |              |                                       |              |                                       | N/A          |
| Supply voltage (V) .....                     |   |              | --                               |              |                                       |              | —                                     |              |
| Max. transmit power of transmitter (W) ..... |   |              | --                               |              |                                       |              | —                                     |              |
| Foreign objects                              | w/o receiver and direct contact                                 |              | with receiver and direct contact |              | with receiver and at distance of 2 mm |              | with receiver and at distance of 5 mm |              |
|  | Object (°C)   | Ambient (°C) | Object (°C)                      | Ambient (°C) | Object (°C)                           | Ambient (°C) | Object (°C)                           | Ambient (°C) |
| --   | --  | --           | --                               | --           | --                                    | --           | --                                    | --           |
| --   | --  | --           | --                               | --           | --                                    | --           | --                                    | --           |
| --   | --  | --           | --                               | --           | --                                    | --           | --                                    | --           |
| Supplementary information:                   |   |              |                                  |              |                                       |              |                                       |              |

|  |  |           |           |    |    |    |    |                               |
|--|--|-----------|-----------|----|----|----|----|-------------------------------|
| <b>5.4.1.4, 9.3, B.2.6, B.2.6</b>                          | <b>TABLE: Temperature measurements</b> |           |           |    |    |    |    | P                             |
| Supply voltage (V) .....                                   |  | DC5V      | DC4.35V   | -- | -- | -- | -- | ---                           |
| Test condition   |  | A         | B         | -- | -- | -- | -- | --                            |
| T <sub>ma</sub> (°C) .....                                 |  | See below | See below | -- | -- | -- | -- | ---                           |
| Maximum measured temperature T of part/at:                 |  | T (°C)    |           |    |    |    |    | Allowed T <sub>max</sub> (°C) |
| PCB near U5  |  | 44.4      | 43.2      | -- | -- | -- | -- | 130                           |
| PCB near U6  |  | 41.0      | 40.6      | -- | -- | -- | -- | 130                           |
| Battery wire   |  | 37.4      | 37.0      | -- | -- | -- | -- | 105                           |
| Battery body   |  | 38.6      | 37.2      | -- | -- | -- | -- | Ref.                          |
| Plastic enclosure inside                                   |  | 31.8      | 31.3      | -- | -- | -- | -- | Ref.                          |
| Plastic enclosure outside                                  |  | 31.3      | 30.9      | -- | -- | -- | -- | 48                            |
| Metal enclosure  |  | 31.7      | 31.4      | -- | -- | -- | -- | 60                            |
| Screen   |  | 30.8      | 30.5      | -- | -- | -- | -- | 48                            |
| Button   |  | 30.5      | 30.1      | -- | -- | -- | -- | 48                            |
| Ambient  |  | 25.0      | 25.0      | -- | -- | -- | -- | --                            |
| Supplementary information:                                 |  |           |           |    |    |    |    |                               |
| A: Empty battery Charging condition.                       |  |           |           |    |    |    |    |                               |
| B: Discharge with fully charged battery, normal operation. |  |           |           |    |    |    |    |                               |

| IEC 62368-1   |                     |                    |                     |                    |        |                               |                  |
|---|---------------------|--------------------|---------------------|--------------------|--------|-------------------------------|------------------|
| Clause  | Requirement + Test  |                    |                     | Result - Remark    |        |                               | Verdict          |
| Temperature T of winding:   | t <sub>1</sub> (°C) | R <sub>1</sub> (Ω) | t <sub>2</sub> (°C) | R <sub>2</sub> (Ω) | T (°C) | Allowed T <sub>max</sub> (°C) | Insulation class |
| --  | --                  | --                 | --                  | --                 | --     | --                            | --               |
| Supplementary information:<br>Note 1: Tma should be considered as directed by applicable requirement. |                     |                    |                     |                    |        |                               |                  |

| B.2.5 TABLE: Input test    |    |       |             |       |             |         |            | P  |
|----------------------------|----|-------|-------------|-------|-------------|---------|------------|--|
| U (V)                      | Hz | I (A) | I rated (A) | P (W) | P rated (W) | Fuse No | I fuse (A) | Condition/status   |
| DC5V                       | -- | 0.23  | 0.5         | 1.15  | --          | --      | --         | Charge with empty battery.<br>Battery charge current: 0.237A |
| DC4.35V                    | -- | 0.193 | --          | --    | --          | --      | --         | Discharge with fully charged battery, normal operation.      |
| Supplementary information: |    |       |             |       |             |         |            |  |

| B.3, B.4  |              | TABLE: Abnormal operating and fault condition tests |           |          |                  |  | P |
|---|--------------|---|-----------|----------|------------------|--|---|
| Ambient temperature T <sub>amb</sub> (°C)..... :                  |              |   |           |          | See below        |  | — |
| Power source for EUT: Manufacturer, model/type, outputrating .. : |              |   |           |          | See table 4.1.2  |  | — |
| Component No.   | Condition    | Supply voltage (V)                                  | Test time | Fuse no. | Fuse current (A) | Observation  |   |
| Charging condition:   |              |   |           |          |                  |  |   |
| Battery (U6 pin 28-29 SC)   | overcharging | 5VDC  | 7hours    | --       | --               | Normal operation, no damage, no explosion, no leaks, no fire, no hazard.<br>Battery charge current: 0.326A<br>Temperature:<br>Battery body: 40.6°C<br>Plastic enclosure outside: 31.9°C<br>Ambient:25.0 °C |   |
| C15   | SC           | 5VDC  | 10mins    | --       | --               | Unit shutdown immediately, no damage, no explosion, no leaks, no fire, no hazard.  |   |
| C89   | SC           | 5VDC  | 10mins    | --       | --               | Unit shutdown immediately, no damage, no explosion, no leaks, no fire, no hazard.  |   |

| IEC 62368-1  |                    |         |          |                 |    |  |
|--|--------------------|---------|----------|-----------------|----|--|
| Clause   | Requirement + Test |         |          | Result - Remark |    | Verdict  |
| Discharging condition:                             |                    |         |          |                 |    |  |
| Battery<br>(B+ to P- SC)                           | Over-discharging   | 4.35VDC | 4h32mins | --              | -- | Normal operation, no damage, no explosion, no leaks, no fire, no hazard.<br>Battery discharge current: 0.243A<br>Temperature:<br>Battery body: 38.6°C<br>Plastic enclosure outside: 31.3°C<br>Ambient:25.0°C |
| C20  | SC                 | 4.35VDC | 10mins   | --              | -- | Unit shutdown immediately, no damage, no explosion, no leaks, no fire, no hazard.  |
| C64  | SC                 | 4.35VDC | 10mins   | --              | -- | Unit shutdown immediately, no damage, no explosion, no leaks, no fire, no hazard.  |
| Speaker  | SC                 | 4.35VDC | 10mins   | --              | -- | Unit shutdown immediately, no damage, no explosion, no leaks, no fire, no hazard.  |
| Supplementary information:                         |                    |         |          |                 |    |  |
| OL= over load; SC= short circuit; OC= open circuit |                    |         |          |                 |    |  |

|  |  |                                    |                        |      |                         |                              |
|--|--|------------------------------------|------------------------|------|-------------------------|------------------------------|
| M.3  | TABLE: Protection circuits for batteries provided within the equipment |                                    |                        |      |                         | P                            |
| Is it possible to install the battery in a reverse polarity position? .....                |  |                                    |                        |      | P                       |                              |
| Equipment Specification  | Charging   |                                    |                        |      |                         |                              |
|  | Voltage (V)  |                                    | Current (A)            |      |                         |                              |
|  | 5VDC   |                                    | 0.5A                   |      |                         |                              |
| Manufacturer/type  | Battery specification  |                                    |                        |      |                         |                              |
|  | Non-rechargeable batteries   |                                    | Rechargeable batteries |      |                         |                              |
|  | Discharging current (A)  | Unintentional charging current (A) | Charging               |      | Discharging current (A) | Reverse charging current (A) |
| Voltage (V)  |  |                                    | Current (A)            |      |                         |                              |
| Shenzhen Mitacbattery Technology Co.,LTD./582527   | --   | --                                 | 4.35                   | 0.48 | 0.48                    | --                           |
| Note: The tests of M.3.2 are applicable only when above appropriate data is not available. |  |                                    |                        |      |                         |                              |

| IEC 62368-1  |                    |                        |           |            |   |             |                                  |
|--|--------------------|------------------------|-----------|------------|---|-------------|----------------------------------|
| Clause   | Requirement + Test |                        |           |            | Result - Remark   |             | Verdict                          |
| Specified battery temperature (°C) .....   |                    |                        |           |            | Charging temperature: 0-45 °C<br>Discharging temperature:<br>-10-60°C |             |                                  |
| Component No.  | Fault condition    | Charge/ discharge mode | Test time | Temp. (°C) | Current (A)   | Voltage (V) | Observation                      |
| Unit   | Normal             | Charge                 | 4h36mins  | 38.6       | 0.237   | 4.35        | No damage, no fire, no explosion |
| U6 pin 28-29   | SC                 | Charge                 | 7hours    | 40.6       | 0.326   | 4.35        | No damage, no fire, no explosion |
| Unit   | Normal             | Discharge              | 4h52mins  | 37.2       | 0.193   | 4.35        | No damage, no fire, no explosion |
| B+ to P-   | SC                 | Discharge              | 4h32mins  | 38.6       | 0.243   | 4.35        | No damage, no fire, no explosion |
| Supplementary information:<br>Abbreviation: SC= short circuit; OC= open circuit NL= no chemical leakage; NS= no spillage of liquid; NE= no explosion; NF= no emission of flame or expulsion of molten metal. |                    |                        |           |            |   |             |                                  |

| M.4.2   | TABLE: Charging safeguards for equipment containing a secondary lithium battery |                      |                      |            |   | P |
|---|---|----------------------|----------------------|------------|---|---|
| Maximum specified charging voltage (V) .....      |   |                      |                      | 4.35       |   | — |
| Maximum specified charging current (A) .....      |   |                      |                      | 0.48       |   | — |
| Highest specified charging temperature (°C) ..... |   |                      |                      | 45         |   | — |
| Lowest specified charging temperature (°C) .....  |   |                      |                      | 0          |   | — |
| Battery manufacturer/type                         | Operating and fault condition   | Measurement          |                      |            | Observation   |   |
|   |   | Charging voltage (V) | Charging current (A) | Temp. (°C) |   |   |
| Shenzhen Mitacbattery Technology Co.,LTD./582527  | Normal  | 4.35                 | 0.237                | 38.6       | The charging voltage not exceeds 4.35V and the charging current not exceeds 0.48A.                                  |   |
|   | U6 pin 28-29 SC   | 4.35                 | 0.326                | 40.6       | The charging voltage not exceeds 4.35V and the charging current not exceeds 0.48A.                                  |   |
|   | HSCT  | 4.35                 | 0                    | 45         | When the battery temperature reaches 41.0°C, Battery stop charging, current: 0A                                     |   |
|   | LSCT  | 4.35                 | 0.05                 | 0          | Charge within the rated range claimed by the manufacturer, the battery charging current is 0.05A not exceeds 0.48A. |   |
| Supplementary information:                        |   |                      |                      |            |   |   |

| IEC 62368-1 |                    |                 |         |
|-------------|--------------------|-----------------|---------|
| Clause      | Requirement + Test | Result - Remark | Verdict |

Abbreviation: SC= short circuit; OC= open circuit; MSCV= maximum specified charging voltage; MSCC= maximum specified charging current; HSCT= highest specified charging temperature; LSCT= lowest specified charging temperature

| <b>Q.1</b>  | <b>TABLE: Circuits intended for interconnection with building wiring (LPS)</b> |                     |          |                     |       |        | N/A   |
|---|--|---------------------|----------|---------------------|-------|--------|-------|
| Output Circuit  | Condition  | U <sub>oc</sub> (V) | Time (s) | I <sub>sc</sub> (A) |       | S (VA) |       |
|   |  |                     |          | Meas.               | Limit | Meas.  | Limit |
| --  | Worst-case fault   | --                  | --       | --                  | 8     | --     | 100   |
|   | Worst-case power source fault-   | --                  | --       | --                  | 8     | --     | 100   |
| Supplementary Information:<br>SC=Short circuit, OC=Open circuit |  |                     |          |                     |       |        |       |

| <b>T.2, T.3, T.4, T.5</b>  | <b>TABLE: Steady force test</b> |                 |       |           |                   |  | P |
|----------------------------|---------------------------------|-----------------|-------|-----------|-------------------|--|---|
| Part/Location              | Material                        | Thickness (mm)  | Probe | Force (N) | Test Duration (s) | Observation  |   |
| Top enclosure              | See table 4.1.2                 | See table 4.1.2 | --    | 100       | 5                 | Enclosure remained intact, no crack/opening developed. |   |
| Side enclosure             | See table 4.1.2                 | See table 4.1.2 | --    | 100       | 5                 | Enclosure remained intact, no crack/opening developed. |   |
| Bottom enclosure           | See table 4.1.2                 | See table 4.1.2 | --    | 100       | 5                 | Enclosure remained intact, no crack/opening developed. |   |
| Supplementary information: |                                 |                 |       |           |                   |  |   |

| <b>T.6, T.9</b>            | <b>TABLE: Impact test</b> |                |             |             | N/A |
|----------------------------|---------------------------|----------------|-------------|-------------|-----|
| Location/part              | Material                  | Thickness (mm) | Height (mm) | Observation |     |
| --                         | --                        | --             | --          | --          |     |
| Supplementary information: |                           |                |             |             |     |

| <b>T.7</b>     | <b>TABLE: Drop test</b> |                 |             |  | P |
|----------------|-------------------------|-----------------|-------------|--|---|
| Location/part  | Material                | Thickness (mm)  | Height (mm) | Observation  |   |
| Top enclosure  | See table 4.1.2         | See table 4.1.2 | 1000        | Enclosure remained intact, no crack/opening developed. |   |
| Side enclosure | See table 4.1.2         | See table 4.1.2 | 1000        | Enclosure remained intact, no crack/opening developed. |   |



| IEC 62368-1                |                    |                 |      |  |
|----------------------------|--------------------|-----------------|------|--|
| Clause                     | Requirement + Test |                 |      | Verdict  |
| Bottom enclosure           | See table 4.1.2    | See table 4.1.2 | 1000 | Enclosure remained intact, no crack/opening developed. |
| Supplementary information: |                    |                 |      |  |

| T.8                        | TABLE: Stress relief test |                 |                       |              |   | P |
|----------------------------|---------------------------|-----------------|-----------------------|--------------|---|---|
| Location/Part              | Material                  | Thickness (mm)  | Oven Temperature (°C) | Duration (h) | Observation   |   |
| Plastic enclosure          | See table 4.1.2           | See table 4.1.2 | 70                    | 7            | Enclosure remained intact, no crack/ opening developed. |   |
| Supplementary information: |                           |                 |                       |              |   |   |

| IEC 62368-1 |                    |                 |         |
|-------------|--------------------|-----------------|---------|
| Clause      | Requirement + Test | Result - Remark | Verdict |

| 4.1.2             | TABLE: List of critical components             |                 |                           |                  |                                    | P |
|-------------------|--|-----------------|---------------------------|------------------|------------------------------------|---|
| Object / part No. | Manufacturer/ trademark                        | Type / model    | Technical data            | Standard         | Mark(s) of conformity <sup>1</sup> |   |
| Plastic enclosure | FORMOSA CHEMICALS & FIBRE CORP PLASTICS DIV    | AC3120          | V-0, 80°C                 | UL 94 UL 746B    | UL E162823                         |   |
| Metal enclosure   | Interchangeable                                | Interchangeable | Thickness Min 1.0mm       | IEC/EN 62368-1   | Tested with appliance              |   |
| PCB               | SHENZHEN LUTONGDA TECHNOLOGY CO LTD            | LTD-D           | V-0, 130°C                | UL 796           | UL E486889                         |   |
| (Alternative)     | Interchangeable                                | Interchangeable | V-1 or better, min. 130°C | UL 796           | UL                                 |   |
| Battery           | Shenzhen Mitacbattery Technology Co.,LTD.      | 582527          | 3.8VDC,480mAh, 1.824Wh    | IEC 62133-2:2017 | IEC report No.:CMC240828 027       |   |
| Internal wire     | Dongguan Mingxiu Electronics Technology Co Ltd | 3767            | 32AWG, 105 °C, 30Vac      | UL 758           | UL E492150                         |   |
| (Alternative)     | interchangeable                                | interchangeable | 32AWG, 105 °C, 30Vac      | UL 758           | UL                                 |   |
| MICRO SPEAKER     | XIAMEN OUYINGN ELECTRONIC CO., LTD.            | 101-1507-001999 | 6.7 ±10% 0hm              | IEC/EN 62368-1   | Tested with appliance              |   |
| Vibration Motor   | XinNing SHY Electronics CO., LTD.              | SHY48-H4444KTB  | 3.0VDC 85mA MAX           | IEC/EN 62368-1   | Tested with appliance              |   |
| Screen            | Shenzhen Renguan Electronics Co., LTD          | RG143ON1267_V01 | 1.43 inch                 | IEC/EN 62368-1   | Tested with appliance              |   |

Supplementary information:

<sup>1)</sup> Provided evidence ensures the agreed level of compliance. See OD-CB2039.

<sup>2)</sup> Description line content is optional. Main line description needs to clearly detail the component used for testing

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### ATTACHMENT I

| IEC62368_1C- ATTACHMENT   |  |                 |         |
|---|--|-----------------|---------|
| Clause  | Requirement + Test   | Result - Remark | Verdict |
| <div>ATTACHMENT TO TEST REPORT</div> <div>IEC 62368-1</div> <div>EUROPEAN GROUP DIFFERENCES AND NATIONAL DIFFERENCES</div> <div>(Audio/video, information and communication technology equipment - Part 1: Safety requirements)</div> |  |                 |         |
| Differences according to ..... : EN IEC 62368-1:2020+A11:2020   |  |                 |         |
| Attachment Form No..... : EU_GD_IEC62368_1C   |  |                 |         |
| Attachment Originator ..... : UL(Demko)   |  |                 |         |
| Master Attachment..... : 2020-03-10   |  |                 |         |
| Copyright © 2020 IEC System for Conformity Testing and Certification of Electrical Equipment (IECEE), Geneva, Switzerland. All rights reserved.   |  |                 |         |
|   | CENELEC COMMON MODIFICATIONS (EN)  |                 | --      |
|   | Clause numbers in the cells that are shaded light grey are clause references in EN IEC 62368-1:2020+A11:2020. All other clause numbers in that column, except for those in the paragraph below, refers to IEC 62368-1:2018.<br><br>Clauses, subclauses, notes, tables, figures and annexes which are additional to those in IEC 62368-1:2018 are prefixed “Z”. |                 | P       |
|   | Add the following annexes:<br>Annex ZA (normative) Normative references to international publications with their corresponding European publications<br>Annex ZB (normative) Special national conditions<br>Annex ZC (informative) A-deviations<br>Annex ZD (informative) IEC and CENELEC code designations for flexible cords                                 |                 | P       |
| 1   | Modification to Clause 3.  |                 | --      |
| 3.3.19  | Sound exposure<br>Replace 3.3.19 of IEC 62368-1 with the following definitions:  |                 | N/A     |

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| 3.3.19.1               | <b>momentary exposure level, MEL</b><br>metric for estimating 1 s sound exposure level from the HD 483-1 S2 test signal applied to both channels, based on EN 50332-1:2013, 4.2.<br>Note 1 to entry: MEL is measured as A-weighted levels in dB.<br>Note 2 to entry: See B.3 of EN 50332-3:2017 for additional information.   |                 | N/A     |
| 3.3.19.3               | <b>sound exposure, E</b><br>A-weighted sound pressure ( $p$ ) squared and integrated over a stated period of time, $T$<br>Note 1 to entry: The SI unit is $\text{Pa}^2 \text{ s}$ .<br>$E = \int_0^T p(t)^2 dt$   |                 | N/A     |
| 3.3.19.4               | <b>sound exposure level, SEL</b><br>logarithmic measure of sound exposure relative to a reference value, $E_0$ , typically the 1 kHz threshold of hearing in humans.<br>Note 1 to entry: SEL is measured as A-weighted levels in dB.<br>$SEL = 10 \lg \left( \frac{E}{E_0} \right) \text{ dB}$ Note 2 to entry: See B.4 of EN 50332-3:2017 for additional information.  |                 | N/A     |
| 3.3.19.5               | <b>digital signal level relative to full scale, dBFS</b><br>levels reported in dBFS are always r.m.s. Full scale level, 0 dBFS, is the level of a dc-free 997-Hz sine wave whose undithered positive peak value is positive digital full scale, leaving the code corresponding to negative digital full scale unused<br>Note 1 to entry: It is invalid to use dBFS for non-r.m.s. levels. Because the definition of full scale is based on a sine wave, the level of signals with a crest factor lower than that of a sine wave may exceed 0 dBFS. In particular, square wave signals may reach +3,01 dBFS. |                 | N/A     |
| 2                      | <b>Modification to Clause 10</b>  |                 | --      |
| 10.6                   | <b>Safeguards against acoustic energy sources</b><br>Replace 10.6 of IEC 62368-1 with the following:  |                 | N/A     |
| 10.6.1.1               | <b>Introduction</b><br><b>Safeguard</b> requirements for protection against long-term exposure to excessive sound pressure levels from personal music players closely coupled to the ear are specified below. Requirements  |                 | N/A     |

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|  | <p>for earphones and headphones intended for use with personal music players are also covered. A personal music player is a portable equipment intended for use by an <b>ordinary person</b>, that:</p> <ul style="list-style-type: none"> <li>– is designed to allow the user to listen to audio or audio visual content / material; and</li> <li>– uses a listening device, such as headphones or earphones that can be worn in or on or around the ears; and</li> <li>– has a player that can be body worn (of a size suitable to be carried in a clothing pocket) and is intended for the user to walk around with while in continuous use (for example, on a street, in a subway, at an airport, etc.).</li> </ul> <p>EXAMPLES Portable CD players, MP3 audio players, mobile phones with MP3 type features, PDAs or similar equipment.</p> <p>Personal music players shall comply with the requirements of either 10.6.2 or 10.6.3.</p> <p>NOTE 1 Protection against acoustic energy sources from telecom applications is referenced to ITU-T P.360.</p> <p>NOTE 2 It is the intention of the Committee to allow the alternative methods for now, but to only use the dose measurement method as given in 10.6.5 in future. Therefore, manufacturers are encouraged to implement 10.6.5 as soon as possible.</p> <p>Listening devices sold separately shall comply with the requirements of 10.6.6.</p> <p>These requirements are valid for music or video mode only.</p> <p>The requirements do not apply to:</p> <ul style="list-style-type: none"> <li>– professional equipment;</li> </ul> <p>NOTE 3 Professional equipment is equipment sold through special sales channels. All products sold through normal electronics stores are considered not to be professional equipment.</p> <ul style="list-style-type: none"> <li>– hearing aid equipment and other devices for assistive listening;</li> <li>– the following type of analogue personal music players: <ul style="list-style-type: none"> <li>• long distance radio receiver (for example, a multiband radio receiver or world band radio receiver, an AM radio receiver), and</li> <li>• cassette player/recorder;</li> </ul> </li> </ul> <p>NOTE 4 This exemption has been allowed because this technology is falling out of use and it is expected that within a few years it will no longer exist. This exemption will not be extended to other technologies.</p> |  |  |
|--|---|--|--|





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|                        | <p>– a player while connected to an external amplifier that does not allow the user to walk around while in use.</p> <p>For equipment that is clearly designed or intended primarily for use by children, the limits of the relevant toy standards may apply.</p> <p>The relevant requirements are given in EN 71-1:2011, 4.20 and the related tests methods and measurement distances apply.</p>  |                 |         |
| <b>10.6.1.2</b>        | <p><b>Non-ionizing radiation from radio frequencies in the range 0 to 300 GHz</b></p> <p>The amount of non-ionizing radiation is regulated by European Council Recommendation 1999/519/EC of 12 July 1999 on the limitation of exposure of the general public to electromagnetic fields (0 Hz to 300 GHz). For intentional radiators, ICNIRP guidelines should be taken into account for Limiting Exposure to Time-Varying Electric, Magnetic, and Electromagnetic Fields (up to 300 GHz). For hand-held and body mounted devices, attention is drawn to EN 50360 and EN 50566.</p>  |                 | N/A     |
| <b>10.6.2</b>          | <b>Classification of devices without the capacity to estimate sound dose</b>   |                 | N/A     |
| <b>10.6.2.1</b>        | <p><b>General</b></p> <p>This standard is transitioning from short-term based (30 s) requirements to long-term based (40 hour) requirements. These clauses remain in effect only for devices that do not comply with sound dose estimation as stipulated in EN 50332-3.</p> <p>For classifying the acoustic output <math>L_{Aeq,T}</math>, measurements are based on the A-weighted equivalent sound pressure level over a 30 s period.</p> <p>For music where the average sound pressure (long term <math>L_{Aeq,T}</math>) measured over the duration of the song is lower than the average produced by the programme simulation noise, measurements may be done over the duration of the complete song. In this case, <math>T</math> becomes the duration of the song.</p> <p>NOTE Classical music, acoustic music and broadcast typically has an average sound pressure (long term <math>L_{Aeq,T}</math>) which is much lower than the average programme simulation noise. Therefore, if the player is capable to analyse the content and compare it with the programme simulation noise, the warning does not need to be given as long as the average sound pressure of the song does not exceed the required limit. For example, if the player is set with the programme simulation noise to 85 dB, but the average music level of the song is only</p> |                 | N/A     |



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|                        | 65 dB, there is no need to give a warning or ask an acknowledgement as long as the average sound level of the song is not above the basic limit of 85 dB.   |                 |         |
| 10.6.2.2               | <b>RS1 limits (to be superseded, see 10.6.3.2)</b><br>RS1 is a class 1 acoustic energy source that does not exceed the following:<br>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or where the combination of player and listening device is known by other means such as setting or automatic detection, the $L_{Aeq,T}$ acoustic output shall be $\leq 85$ dB when playing the fixed “programme simulation noise” described in EN 50332-1.<br>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output voltage shall be $\leq 27$ mV (analogue interface) or -25 dBFS (digital interface) when playing the fixed “programme simulation noise” described in EN 50332-1.<br>– The RS1 limits will be updated for all devices as per 10.6.3.2. |                 | N/A     |
| 10.6.2.3               | <b>RS2 limits (to be superseded, see 10.6.3.3)</b><br>RS2 is a class 2 acoustic energy source that does not exceed the following:<br>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or when the combination of player and listening device is known by other means such as setting or automatic 130 detection, the $L_{Aeq,T}$ acoustic output shall be $\leq 100$ dB(A) when playing the fixed “programme simulation noise” as described in EN 50332-1.<br>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output voltage shall be $\leq 150$ mV (analogue interface) or -10 dBFS (digital interface) when playing the fixed “programme simulation noise” as described in EN 50332-1.  |                 | N/A     |
| 10.6.2.4               | <b>RS3 limits</b><br>RS3 is a class 3 acoustic energy source that exceeds RS2 limits.   |                 | N/A     |
| 10.6.3                 | <b>Classification of devices (new)</b>  |                 | N/A     |
| 10.6.3.1               | <b>General</b><br>Previous limits (10.6.2) created abundant false negative and false positive PMP sound level warnings. New limits, compliant with The  |                 | N/A     |

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|                        | Commission Decision of 23 June 2009, are given below.  |                 |         |
| <b>10.6.3.2</b>        | <b>RS1 limits (new)</b><br>RS1 is a class 1 acoustic energy source that does not exceed the following:<br>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or where the combination of player and listening device is known by other means such as setting or automatic detection, the $L_{Aeq,T}$ acoustic output shall be $\leq 80$ dB when playing the fixed "programme simulation noise" described in EN 50332-1.<br>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output voltage shall be $\leq 15$ mV (analogue interface) or -30 dBFS (digital interface) when playing the fixed "programme simulation noise" described in EN 50332-1.  |                 | N/A     |
| <b>10.6.3.3</b>        | <b>RS2 limits (new)</b><br>RS2 is a class 2 acoustic energy source that does not exceed the following:<br>– for equipment provided as a package (player with its listening device), and with a proprietary connector between the player and its listening device, or where the combination of player and listening device is known by other means such as setting or automatic detection, the weekly sound exposure level, as described in EN 50332-3, shall be $\leq 80$ dB when playing the fixed "programme simulation noise" described in EN 50332-1.<br>– for equipment provided with a standardized connector (for example, a 3,5 phone jack) that allows connection to a listening device for general use, the unweighted r.m.s. output level, integrated over one week, as described in EN50332-3, shall be $\leq 15$ mV (analogue interface) or -30 dBFS (digital interface) when playing the fixed "programme simulation noise" described in EN 50332-1. |                 | N/A     |
| <b>10.6.4</b>          | <b>Requirements for maximum sound exposure</b>   |                 | N/A     |
| <b>10.6.4.1</b>        | <b>Measurement methods</b><br>All volume controls shall be turned to maximum during tests.<br>Measurements shall be made in accordance with EN 50332-1 or EN 50332-2 as applicable.  |                 | N/A     |
| <b>10.6.4.2</b>        | <b>Protection of persons</b><br>Except as given below, protection requirements for parts <b>accessible to ordinary persons, instructed persons and skilled persons</b> are given in 4.3.   |                 | N/A     |

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|                        | <p>NOTE 1 Volume control is not considered a <b>safeguard</b>.</p> <p>Between RS2 and an <b>ordinary person</b>, the <b>basic safeguard</b> may be replaced by an <b>instructional safeguard</b> in accordance with Clause F.5, except that the <b>instructional safeguard</b> shall be placed on the equipment, or on the packaging, or in the instruction manual.</p> <p>Alternatively, the <b>instructional safeguard</b> may be given through the equipment display during use.</p> <p>The elements of the <b>instructional safeguard</b> shall be as follows:</p> <div style="text-align: center;">  </div> <ul style="list-style-type: none"> <li>– element 1a: the symbol , IEC 60417-6044 (2011-01)</li> <li>– element 2: “High sound pressure” or equivalent wording</li> <li>– element 3: “Hearing damage risk” or equivalent wording</li> <li>– element 4: “Do not listen at high volume levels for long periods.” or equivalent wording</li> </ul> <p>An <b>equipment safeguard</b> shall prevent exposure of an <b>ordinary person</b> to an RS2 source without intentional physical action from the <b>ordinary person</b> and shall automatically return to an output level not exceeding what is specified for an RS1 source when the power is switched off.</p> <p>The equipment shall provide a means to actively inform the user of the increased sound level when the equipment is operated with an output exceeding RS1. Any means used shall be acknowledged by the user before activating a mode of operation which allows for an output exceeding RS1. The acknowledgement does not need to be repeated more than once every 20 h of cumulative listening time.</p> <p>NOTE 2 Examples of means include visual or audible signals. Action from the user is always needed.</p> <p>NOTE 3 The 20 h listening time is the accumulative listening time, independent of how often and how long the personal music player has been switched off.</p> <p>A <b>skilled person</b> shall not be unintentionally exposed to RS3.</p> |                 |         |
| 10.6.5                 | <b>Requirements for dose-based systems</b>   |                 | N/A     |
| 10.6.5.1               | <b>General requirements</b><br>Personal music players shall give the warnings as provided below when tested according to EN 50332-3, using the limits from this clause.  |                 | N/A     |

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|                        | <p>The manufacturer may offer optional settings to allow the users to modify when and how they wish to receive the notifications and warnings to promote a better user experience without defeating the safeguards. This allows the users to be informed in a method that best meets their physical capabilities and device usage needs. If such optional settings are offered, an administrator (for example, parental restrictions, business/educational administrators, etc.) shall be able to lock any optional settings into a specific configuration.</p> <p>The personal music player shall be supplied with easy to understand explanation to the user of the dose management system, the risks involved, and how to use the system safely. The user shall be made aware that other sources may significantly contribute to their sound exposure, for example work, transportation, concerts, clubs, cinema, car races, etc.</p>   |                 |         |
| 10.6.5.2               | <p><b>Dose-based warning and requirements</b></p> <p>When a dose of 100 % CSD is reached, and at least at every 100 % further increase of CSD, the device shall warn the user and require an acknowledgement. In case the user does not acknowledge, the output level shall automatically decrease to compliance with class RS1.</p> <p>The warning shall at least clearly indicate that listening above 100 % CSD leads to the risk of hearing damage or loss.</p>  |                 | N/A     |
| 10.6.5.3               | <p><b>Exposure-based requirements</b></p> <p>With only dose-based requirements, cause and effect could be far separated in time, defying the purpose of educating users about safe listening practice. In addition to dose-based requirements, a PMP shall therefore also put a limit to the short-term sound level a user can listen at.</p> <p>The exposure-based limiter (EL) shall automatically reduce the sound level not to exceed 100 dB(A) or 150 mV integrated over the past 180 s, based on methodology defined in EN 50332-3.</p> <p>The EL settling time (time from starting level reduction to reaching target output) shall be 10 s or faster.</p> <p>Test of EL functionality is conducted according to EN 50332-3, using the limits from this clause. For equipment provided as a package (player with its listening device), the level integrated over 180 s shall be 100 dB or lower. For equipment provided with a standardized connector, the unweighted level integrated over 180 s shall be no more than 150 mV for an analogue interface and no more</p> |                 | N/A     |



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|                        | than -10 dBFS for a digital interface.<br>NOTE In case the source is known not to be music (or test signal), the EL may be disabled.  |                 |         |
| <b>10.6.6</b>          | <b>Requirements for listening devices (headphones, earphones, etc.)</b>   |                 | N/A     |
| <b>10.6.6.1</b>        | <b>Corded listening devices with analogue input</b><br><br>With 94 dB $L_{Aeq}$ acoustic pressure output of the listening device, and with the volume and sound settings in the listening device (for example, built-in volume level control, additional sound features like equalization, etc.) set to the combination of positions that maximize the measured acoustic output, the input voltage of the listening device when playing the fixed "programme simulation noise" as described in EN 50332-1 shall be $\geq 75$ mV.<br>NOTE The values of 94 dB and 75 mV correspond with 85 dB and 27 mV or 100 dB and 150 mV.  |                 | N/A     |
| <b>10.6.6.2</b>        | <b>Corded listening devices with digital input</b><br>With any playing device playing the fixed "programme simulation noise" described in EN 50332-1, and with the volume and sound settings in the listening device (for example, built-in volume level control, additional sound features like equalization, etc.) set to the combination of positions that maximize the measured acoustic output, the $L_{Aeq, T}$ acoustic output of the listening device shall be $\leq 100$ dB with an input signal of -10 dBFS.  |                 | N/A     |
| <b>10.6.6.3</b>        | <b>Cordless listening devices</b><br>In cordless mode,<br>– with any playing and transmitting device playing the fixed programme simulation noise described in EN 50332-1; and<br>– respecting the cordless transmission standards, where an air interface standard exists that specifies the equivalent acoustic level; and<br>– with volume and sound settings in the receiving device (for example, built-in volume level control, additional sound features like equalization, etc.) set to the combination of positions that maximize the measured acoustic output for the above mentioned programme simulation noise, the $L_{Aeq, T}$ acoustic output of the listening device shall be $\leq 100$ dB with an input signal of -10 dBFS. |                 | N/A     |
| <b>10.6.6.4</b>        | <b>Measurement method</b><br><i>Measurements shall be made in accordance with EN 50332-2 as applicable.</i>   |                 | N/A     |
| <b>3</b>               | <b>Modification to the whole document</b>   |                 | --      |

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| Clause                 | Requirement + Test   |              |                         | Result - Remark       |             | Verdict               |
|                        | <b>Delete</b> all the “country” notes in the reference document according to the following list:   |              |                         |                       |             | N/A                   |
|                        | 0.2.1  | Note 1 and 2 | 1                       | Note 4 and 5          | 3.3.8.1     | Note 2                |
|                        | 3.3.8.3  | Note 1       | 4.1.15                  | Note                  | 4.7.3       | Note 1 and 2          |
|                        | 5.2.2.2  | Note         | 5.4.2.3.2.2<br>Table 12 | Note c                | 5.4.2.3.2.4 | Note 1 and 3          |
|                        | 5.4.2.3.2.4<br>Table 13  | Note 2       | 5.4.2.5                 | Note 2                | 5.4.5.1     | Note                  |
|                        | 5.4.10.2.1   | Note         | 5.4.10.2.2              | Note                  | 5.4.10.2.3  | Note                  |
|                        | 5.5.2.1  | Note         | 5.5.6                   | Note                  | 5.6.4.2.1   | Note 2 and 3<br>and 4 |
|                        | 5.6.8  | Note 2       | 5.7.6                   | Note                  | 5.7.7.1     | Note 1 and<br>Note 2  |
|                        | 8.5.4.2.3  | Note         | 10.2.1<br>Table 39      | Note 3 and 4<br>and 5 | 10.5.3      | Note 2                |
|                        | <del>10.6.1</del>  | Note 3       | F.3.3.6                 | Note 3                | Y.4.1       | Note                  |
|                        | Y.4.5  | Note         |                         |                       |             |                       |
| 4                      | Modification to Clause 1   |              |                         |                       |             | --                    |
| 1                      | Add the following note:<br>NOTE Z1 The use of certain substances in electrical and electronic equipment is restricted within the EU: see Directive 2011/65/EU. |              |                         |                       |             | N/A                   |
| 5                      | Modification to 4.Z1   |              |                         |                       |             | --                    |



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| <b>4.Z1</b>            | <p><b>Add the following new subclause after 4.9:</b></p> <p>To protect against excessive current, short-circuits and earth faults in circuits connected to an a.c. <b>mains</b>, protective devices shall be included either as integral parts of the equipment or as parts of the building installation, subject to the following, a), b) and c):</p> <p>a) except as detailed in b) and c), protective devices necessary to comply with the requirements of B.3.1 and B.4 shall be included as parts of the equipment;</p> <p>b) for components in series with the mains input to the equipment such as the supply cord, appliance coupler, r.f.i. filter and switch, short-circuit and earth fault protection may be provided by protective devices in the building installation;</p> <p>c) it is permitted for <b>pluggable equipment type B</b> or <b>permanently connected equipment</b>, to rely on dedicated overcurrent and short-circuit protection in the building installation, provided that the means of protection, e.g. fuses or circuit breakers, is fully specified in the installation instructions.</p> <p>If reliance is placed on protection in the building installation, the installation instructions shall so state, except that for <b>pluggable equipment type A</b> the building installation shall be regarded as providing protection in accordance with the rating of the wall socket outlet.</p> |                 | N/A     |
| <b>6</b>               | <b>Modification to 5.4.2.3.2.4</b>  |                 | --      |
| <b>5.4.2.3.2.4</b>     | <p><b>Add the following to the end of this subclause:</b></p> <p>The requirement for interconnection with <b>external circuit</b> is in addition given in EN 50491-3:2009.</p>  |                 | N/A     |
| <b>7</b>               | <b>Modification to 10.2.1</b>   |                 | --      |
| <b>10.2.1</b>          | <p>Add the following to <sup>c)</sup> and <sup>d)</sup> in table 39:</p> <p>For additional requirements, see 10.5.1.</p>  |                 | N/A     |
| <b>8</b>               | <b>Modification to 10.5.1</b>   |                 | --      |

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| 10.5.1                 | <p><b>Add the following after the first paragraph:</b></p> <p>For RS 1 compliance is checked by measurement under the following conditions:</p> <p>In addition to the normal operating conditions, all controls adjustable from the outside by hand, by any object such as a tool or a coin, and those internal adjustments or pre-sets which are not locked in a reliable manner, are adjusted so as to give maximum radiation whilst maintaining an intelligible picture for 1 h, at the end of which the measurement is made.</p> <p>NOTE Z1 Soldered joints and paint lockings are examples of adequate locking.</p> <p>The dose-rate is determined by means of a radiation monitor with an effective area of 10 cm<sup>2</sup>, at any point 10 cm from the outer surface of the apparatus.</p> <p>Moreover, the measurement shall be made under fault conditions causing an increase of the high voltage, provided an intelligible picture is maintained for 1 h, at the end of which the measurement is made.</p> <p>For RS1, the dose-rate shall not exceed 1 µSv/h taking account of the background level.</p> <p>NOTE Z2 These values appear in Directive 96/29/Euratom of 13 May 1996.</p> |                 | N/A     |
| 9                      | <b>Modification to G.7.1</b>  |                 | --      |
| G.7.1                  | <p><b>Add the following note:</b></p> <p>NOTE Z1 The harmonized code designations corresponding to the IEC cord types are given in Annex ZD.</p>  |                 | N/A     |
| 10                     | <b>Modification to Bibliography</b>   |                 | --      |

| IEC 62368-1 Attachment |  |                      |         |
|------------------------|--|----------------------|---------|
| Clause                 | Requirement + Test   | Result - Remark      | Verdict |
|                        | <p><b>Add</b> the following notes for the standards indicated:</p> <p>IEC 60130-9      NOTE Harmonized as EN 60130-9.<br/> IEC 60269-2      NOTE Harmonized as HD 60269-2.<br/> IEC 60309-1      NOTE Harmonized as EN 60309-1.<br/> IEC 60364      NOTE some parts harmonized in HD 384/HD 60364 series.<br/> IEC 60601-2-4      NOTE Harmonized as EN 60601-2-4.<br/> IEC 60664-5      NOTE Harmonized as EN 60664-5.<br/> IEC 61032:1997      NOTE Harmonized as EN 61032:1998 (not modified).<br/> IEC 61508-1      NOTE Harmonized as EN 61508-1.<br/> IEC 61558-2-1      NOTE Harmonized as EN 61558-2-1.<br/> IEC 61558-2-4      NOTE Harmonized as EN 61558-2-4.<br/> IEC 61558-2-6      NOTE Harmonized as EN 61558-2-6.<br/> IEC 61643-1      NOTE Harmonized as EN 61643-1.<br/> IEC 61643-21      NOTE Harmonized as EN 61643-21.<br/> IEC 61643-311      NOTE Harmonized as EN 61643-311.<br/> IEC 61643-321      NOTE Harmonized as EN 61643-321.<br/> IEC 61643-331      NOTE Harmonized as EN 61643-331.</p> |                      | N/A     |
| <b>11</b>              | <b>ADDITION OF ANNEXES</b>   |                      | --      |
| <b>ZB</b>              | <b>ANNEX ZB, SPECIAL NATIONAL CONDITIONS (EN)</b>  |                      | P       |
| <b>4.1.15</b>          | <p><b>Denmark, Finland, Norway and Sweden</b></p> <p>To the end of the subclause the following is added:<br/> <b>Class I pluggable equipment type A</b> intended for connection to other equipment or a network shall, if safety relies on connection to reliable earthing or if surge suppressors are connected between the network terminals and <b>accessible</b> parts, have a marking stating that the equipment shall be connected to an earthed <b>mains</b> socket-outlet.</p> <p>The marking text in the applicable countries shall be as follows:</p> <p>In <b>Denmark</b>: "Apparatets stikprop skal tilsluttes en stikkontakt med jord som giver forbindelse til stikproppens jord."<br/> In <b>Finland</b>: "Laite on liitettävä suojakoskettimilla varustettuun pistorasiaan"<br/> In <b>Norway</b>: "Apparatet må tilkoples jordnet stikkontakt"<br/> In <b>Sweden</b>: "Apparaten skall anslutas till jordat uttag"</p>  | Shown on the product | P       |

| IEC 62368-1 Attachment |  |                 |         |
|------------------------|--|-----------------|---------|
| Clause                 | Requirement + Test   | Result - Remark | Verdict |
| 4.7.3                  | <b>United Kingdom</b><br><br>To the end of the subclause the following is added:<br><br>The torque test is performed using a socket-outlet complying with BS 1363, and the plug part shall be assessed to the relevant clauses of BS 1363. Also see Annex G.4.2 of this annex  |                 | N/A     |
| 5.2.2.2                | <b>Denmark</b><br><br>After the 2nd paragraph add the following:<br><br>A warning (marking safeguard) for high touch current is required if the touch current exceeds the limits of 3,5 mA a.c. or 10 mA d.c.  |                 | N/A     |
| 5.4.11.1 and Annex G   | <b>Finland and Sweden</b><br><br>To the end of the subclause the following is added:<br><br>For separation of the telecommunication network from earth the following is applicable:<br><br>If this insulation is solid, including insulation forming part of a component, it shall at least consist of either <ul style="list-style-type: none"> <li>• two layers of thin sheet material, each of which shall pass the electric strength test below, or</li> <li>• one layer having a distance through insulation of at least 0,4 mm, which shall pass the electric strength test below.</li> </ul> If this insulation forms part of a semiconductor component (e.g. an optocoupler), there is no distance through insulation requirement for the insulation consisting of an insulating compound completely filling the casing, so that clearances and creepage distances do not exist, if the component passes the electric strength test in accordance with the compliance clause below and in addition <ul style="list-style-type: none"> <li>• passes the tests and inspection criteria of 5.4.8 with an electric strength test of 1,5 kV multiplied by 1,6 (the electric strength test of 5.4.9 shall be performed using 1,5 kV),</li> </ul> and <ul style="list-style-type: none"> <li>• is subject to routine testing for electric strength during manufacturing, using a test voltage of 1,5 kV.</li> </ul> |                 | N/A     |

| IEC 62368-1 Attachment |   |                 |         |
|------------------------|---|-----------------|---------|
| Clause                 | Requirement + Test  | Result - Remark | Verdict |
|                        | <p>It is permitted to bridge this insulation with a capacitor complying with EN 60384-14:2005, subclass Y2.</p> <p>A capacitor classified Y3 according to EN 60384-14:2005, may bridge this insulation under the following conditions:</p> <ul style="list-style-type: none"> <li>the insulation requirements are satisfied by having a capacitor classified Y3 as defined by EN 60384-14, which in addition to the Y3 testing, is tested with an impulse test of 2,5 kV defined in 5.4.11;</li> <li>the additional testing shall be performed on all the test specimens as described in EN 60384-14;</li> </ul> <p>the impulse test of 2,5 kV is to be performed before the endurance test in EN 60384-14, in the sequence of tests as described in EN 60384-14.</p> |                 |         |
| 5.5.2.1                | <p><b>Norway</b></p> <p>After the 3rd paragraph the following is added:</p> <p>Due to the IT power system used, capacitors are required to be rated for the applicable line-to-line voltage (230 V).</p>  |                 | N/A     |
| 5.5.6                  | <p><b>Finland, Norway and Sweden</b></p> <p>To the end of the subclause the following is added:</p> <p>Resistors used as <b>basic safeguard</b> or bridging <b>basic insulation</b> in <b>class I pluggable equipment type A</b> shall comply with G.10.1 and the test of G.10.2.</p>   |                 | N/A     |
| 5.6.1                  | <p><b>Denmark</b></p> <p><b>Add</b> to the end of the subclause</p> <p>Due to many existing installations where the socket-outlets can be protected with fuses with higher rating than the rating of the socket-outlets the protection for pluggable equipment type A shall be an integral part of the equipment.</p> <p><i>Justification:</i></p> <p>In Denmark an existing 13 A socket outlet can be protected by a 20 A fuse.</p>  |                 | N/A     |



| IEC 62368-1 Attachment |   |                 |         |
|------------------------|---|-----------------|---------|
| Clause                 | Requirement + Test  | Result - Remark | Verdict |
| 5.6.4.2.1              | <b>Ireland and United Kingdom</b><br><br>After the indent for <b>pluggable equipment type A</b> , the following is added:<br>– the <b>protective current rating</b> is taken to be 13 A, this being the largest rating of fuse used in the <b>mains</b> plug.   |                 | N/A     |
| 5.6.4.2.1              | <b>France</b><br><br>After the indent for <b>pluggable equipment type A</b> , the following is added:<br>– in certain cases, the <b>protective current rating</b> of the circuit supplied from the mains is taken as 20 A instead of 16 A.  |                 | N/A     |
| 5.6.5.1                | To the second paragraph the following is added:<br><br>The range of conductor sizes of flexible cords to be accepted by terminals for equipment with a rated current over 10 A and up to and including 13 A is: 1,25 mm <sup>2</sup> to 1,5 mm <sup>2</sup> in cross-sectional area.  |                 | N/A     |
| 5.6.8                  | <b>Norway</b><br><br>To the end of the subclause the following is added:<br>Equipment connected with an earthed mains plug is classified as <b>class I equipment</b> . See the Norway marking requirement in 4.1.15. The symbol IEC 60417-6092, as specified in F.3.6.2, is accepted.   |                 | N/A     |
| 5.7.6                  | <b>Denmark</b><br><br>To the end of the subclause the following is added:<br><br>The installation instruction shall be affixed to the equipment if the <b>protective conductor current</b> exceeds the limits of 3,5 mA a.c. or 10 mA d.c.  |                 | N/A     |
| 5.7.6.2                | <b>Denmark</b><br><br>To the end of the subclause the following is added:<br>The warning (marking safeguard) for high touch current is required if the touch current or the protective current exceed the limits of 3,5 mA .  |                 | N/A     |
| 5.7.7.1                | <b>Norway and Sweden</b><br><br>To the end of the subclause the following is added:<br>The screen of the television distribution system is normally not earthed at the entrance of the building and there is normally no equipotential bonding system within the building.<br>Therefore the protective earthing of the building installation needs to be isolated from the screen of a cable distribution system. |                 | N/A     |



| IEC 62368-1 Attachment |  |                 |         |
|------------------------|--|-----------------|---------|
| Clause                 | Requirement + Test   | Result - Remark | Verdict |
|                        | <p>It is however accepted to provide the insulation external to the equipment by an adapter or an interconnection cable with galvanic isolator, which may be provided by a retailer, for example.</p> <p>The user manual shall then have the following or similar information in Norwegian and Swedish language respectively, depending on in what country the equipment is intended to be used in:</p> <p>“Apparatus connected to the protective earthing of the building installation through the mains connection or through other apparatus with a connection to protective earthing – and to a television distribution system using coaxial cable, may in some circumstances create a fire hazard. Connection to a television distribution system therefore has to be provided through a device providing electrical isolation below a certain frequency range (galvanic isolator, see EN 60728-11)”</p> <p>NOTE In Norway, due to regulation for CATV-installations, and in Sweden, a galvanic isolator shall provide electrical insulation below 5 MHz. The insulation shall withstand a dielectric strength of 1,5 kV r.m.s., 50 Hz or 60 Hz, for 1 min.</p> <p>Translation to Norwegian (the Swedish text will also be accepted in Norway):</p> <p>“Apparater som er koplet til beskyttelsesjord via nettplugg og/eller via annet jordtilkoplede utstyr – og er tilkoplede et koaksialbasert kabel-TV nett, kan forårsake brannfare. For å unngå dette skal det ved tilkopling av apparater til kabel-TV nett installeres en galvanisk isolator mellom apparatet og kabel-TV nettet.”</p> <p>Translation to Swedish:</p> <p>“Apparater som är kopplad till skyddsjord via jordat vägguttag och/eller via annan utrustning och samtidigt är kopplad till kabel-TV nät kan i vissa fall medföra risk för brand. För att undvika detta skall vid anslutning av apparaten till kabel-TV nät galvanisk isolator finnas mellan apparaten och kabel-TV nätet.”</p> |                 |         |

| IEC 62368-1 Attachment |   |                 |         |
|------------------------|---|-----------------|---------|
| Clause                 | Requirement + Test  | Result - Remark | Verdict |
| 8.5.4.2.3              | <p><b>United Kingdom</b></p> <p>Add the following after the 2<sup>nd</sup> dash bullet in 3<sup>rd</sup> paragraph:</p> <p>An emergency stop system complying with the requirements of IEC 60204-1 and ISO 13850 is required where there is a risk of personal injury.</p>  |                 | N/A     |
| B.3.1 and B.4          | <p><b>Ireland and United Kingdom</b></p> <p>The following is applicable:</p> <p>To protect against excessive currents and short-circuits in the primary circuit of <b>direct plug-in equipment</b>, tests according to Annexes B.3.1 and B.4 shall be conducted using an external miniature circuit breaker complying with EN 60898-1, Type B, rated 32A. If the equipment does not pass these tests, suitable protective devices shall be included as an integral part of the <b>direct plug-in equipment</b>, until the requirements of Annexes B.3.1 and B.4 are met</p>   |                 | N/A     |
| G.4.2                  | <p><b>Denmark</b></p> <p>To the end of the subclause the following is added:</p> <p>Supply cords of single phase appliances having a rated current not exceeding 13 A shall be provided with a plug according to DS 60884-2-D1:2011.</p> <p>CLASS I EQUIPMENT provided with socket-outlets with earth contacts or which are intended to be used in locations where protection against indirect contact is required according to the wiring rules shall be provided with a plug in accordance with standard sheet DK 2-1a or DK 2-5a.</p> <p>If a single-phase equipment having a RATED CURRENT exceeding 13 A or if a polyphase equipment is provided with a supply cord with a plug, this plug shall be in accordance with the standard sheets DK 6-1a in DS 60884-2-D1 or EN 60309-2.</p> <p>Mains socket outlets intended for providing power to Class II apparatus with a rated current of 2,5 A shall be in accordance DS 60884-2-D1:2011 standard sheet DKA 1-4a.</p> <p>Other current rating socket outlets shall be in compliance with Standard Sheet DKA 1-3a or DKA 1-1c.</p> |                 | N/A     |

| IEC 62368-1 Attachment |   |                 |         |
|------------------------|---|-----------------|---------|
| Clause                 | Requirement + Test  | Result - Remark | Verdict |
|                        | <p>Mains socket-outlets with earth shall be in compliance with DS 60884-2-D1:2011 Standard Sheet DK 1-3a, DK 1-1c, DK1-1d, DK 1-5a or DK 1-7a</p> <p><i>Justification:</i><br/>Heavy Current Regulations, Section 6c</p>  |                 |         |
| <b>G.4.2</b>           | <p><b>United Kingdom</b></p> <p>To the end of the subclause the following is added:</p> <p>The plug part of direct plug-in equipment shall be assessed to BS 1363: Part 1, 12.1, 12.2, 12.3, 12.9, 12.11, 12.12, 12.13, 12.16, and 12.17, except that the test of 12.17 is performed at not less than 125 °C. Where the metal earth pin is replaced by an Insulated Shutter Opening Device (ISOD), the requirements of clauses 22.2 and 23 also apply.</p>  |                 | N/A     |
| <b>G.7.1</b>           | <p><b>United Kingdom</b></p> <p>To the first paragraph the following is added:</p> <p>Equipment which is fitted with a flexible cable or cord and is designed to be connected to a mains socket conforming to BS 1363 by means of that flexible cable or cord shall be fitted with a 'standard plug' in accordance with the Plugs and Sockets etc. (Safety) Regulations 1994, Statutory Instrument 1994 No. 1768, unless exempted by those regulations.</p> <p>NOTE "Standard plug" is defined in SI 1768:1994 and essentially means an approved plug conforming to BS 1363 or an approved conversion plug.</p> |                 | N/A     |
| <b>G.7.1</b>           | <p><b>Ireland</b></p> <p>To the first paragraph the following is added:</p> <p>Apparatus which is fitted with a flexible cable or cord shall be provided with a plug in accordance with Statutory Instrument 525: 1997, "13 A Plugs and Conversion Adapters for Domestic Use Regulations: 1997. S.I. 525 provides for the recognition of a standard of another Member State which is equivalent to the relevant Irish Standard</p>  |                 | N/A     |

| IEC 62368-1 Attachment |   |                 |         |
|------------------------|---|-----------------|---------|
| Clause                 | Requirement + Test  | Result - Remark | Verdict |
| <b>G.7.2</b>           | <b>Ireland and United Kingdom</b><br><br>To the first paragraph the following is added:<br><br>A power supply cord with a conductor of 1,25 mm <sup>2</sup> is allowed for equipment which is rated over 10 A and up to and including 13 A. |                 | N/A     |

| IEC 62368-1 Attachment |                    |                 |         |
|------------------------|--------------------|-----------------|---------|
| Clause                 | Requirement + Test | Result - Remark | Verdict |

|               |  |  |     |
|---------------|--|--|-----|
| <b>ZC</b>     | <b>ANNEX ZC, NATIONAL DEVIATIONS (EN)</b>  |  | N/A |
| <b>10.5.2</b> | <p><b>Germany</b></p> <p>The following requirement applies:</p> <p>For the operation of any cathode ray tube intended for the display of visual images operating at an acceleration voltage exceeding 40 kV, authorization is required, or application of type approval (Bauartzulassung) and marking.</p> <p><i>Justification:</i><br/>German ministerial decree against ionizing radiation (Röntgenverordnung), in force since 2002-07-01, implementing the European Directive 96/29/EURATOM.</p> <p><b>NOTE</b> Contact address:<br/>Physikalisch-Technische Bundesanstalt, Bundesallee 100, D-38116 Braunschweig,<br/>Tel.: Int+49-531-592-6320, Internet: <a href="http://www.ptb.de">http://www.ptb.de</a></p> |  | N/A |



| IEC 62368-1 Attachment |                    |                 |         |
|------------------------|--------------------|-----------------|---------|
| Clause                 | Requirement + Test | Result - Remark | Verdict |

| ZD  | IEC and CENELEC CODE DESIGNATIONS FOR FLEXIBLE CORDS (EN)   |                          | N/A                   |                   |  |     |         |     |
|---|---|--------------------------|-----------------------|-------------------|--|-----|---------|-----|
|   | <table><tr><th rowspan="2">Type of flexible cord</th><th colspan="2">Code designations</th></tr><tr><th>IEC</th><th>CENELEC</th></tr></table> |                          | Type of flexible cord | Code designations |  | IEC | CENELEC | N/A |
|   | Type of flexible cord   | Code designations        |                       |                   |  |     |         |     |
|   |   | IEC                      | CENELEC               |                   |  |     |         |     |
|   | <b>PVC insulated cords</b>  |                          |                       |                   |  |     |         |     |
|   | Flat twin tinsel cord   | 60227 IEC 41             | H03VH-Y               |                   |  |     |         |     |
|   | Light polyvinyl chloride sheathed flexible cord   | 60227 IEC 52             | H03VV-F<br>H03VVH2-F  |                   |  |     |         |     |
|   | Ordinary polyvinyl chloride sheathed flexible cord  | 60227 IEC 53             | H05VV-F<br>H05VVH2-F  |                   |  |     |         |     |
|   | <b>Rubber insulated cords</b>   |                          |                       |                   |  |     |         |     |
|   | Braided cord  | 60245 IEC 51             | H03RT-F               |                   |  |     |         |     |
|   | Ordinary tough rubber sheathed flexible cord  | 60245 IEC 53             | H05RR-F               |                   |  |     |         |     |
|   | Ordinary polychloroprene sheathed flexible cord   | 60245 IEC 57             | H05RN-F               |                   |  |     |         |     |
|   | Heavy polychloroprene sheathed flexible cord  | 60245 IEC 66             | H07RN-F               |                   |  |     |         |     |
|   | <b>Cords having high flexibility</b>  |                          |                       |                   |  |     |         |     |
|   | Rubber insulated and sheathed cord  | 60245 IEC 86             | H03RR-H               |                   |  |     |         |     |
|   | Rubber insulated, crosslinked PVC sheathed cord   | 60245 IEC 87             | H03RV4-H              |                   |  |     |         |     |
|   | Crosslinked PVC insulated and sheathed cord   | 60245 IEC 88             | H03V4V4-H             |                   |  |     |         |     |
|   | <b>Cords insulated and sheathed with halogen-free thermoplastic compounds</b>   |                          |                       |                   |  |     |         |     |
| Light halogen-free thermoplastic insulated and sheathed flexible cords    |   | H03Z1Z1-F<br>H03Z1Z1H2-F |                       |                   |  |     |         |     |
| Ordinary halogen-free thermoplastic insulated and sheathed flexible cords |   | H05Z1Z1-F<br>H05Z1Z1H2-F |                       |                   |  |     |         |     |



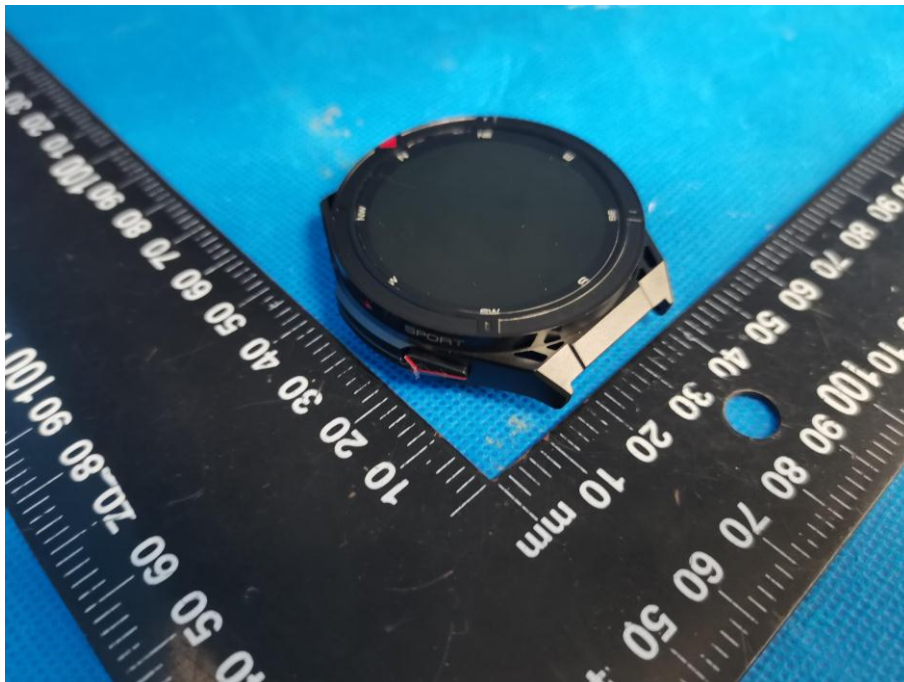
## Attachment II: Photo-documentation

**EUT PHOTO 1****EUT PHOTO 2**

**EUT PHOTO 3**

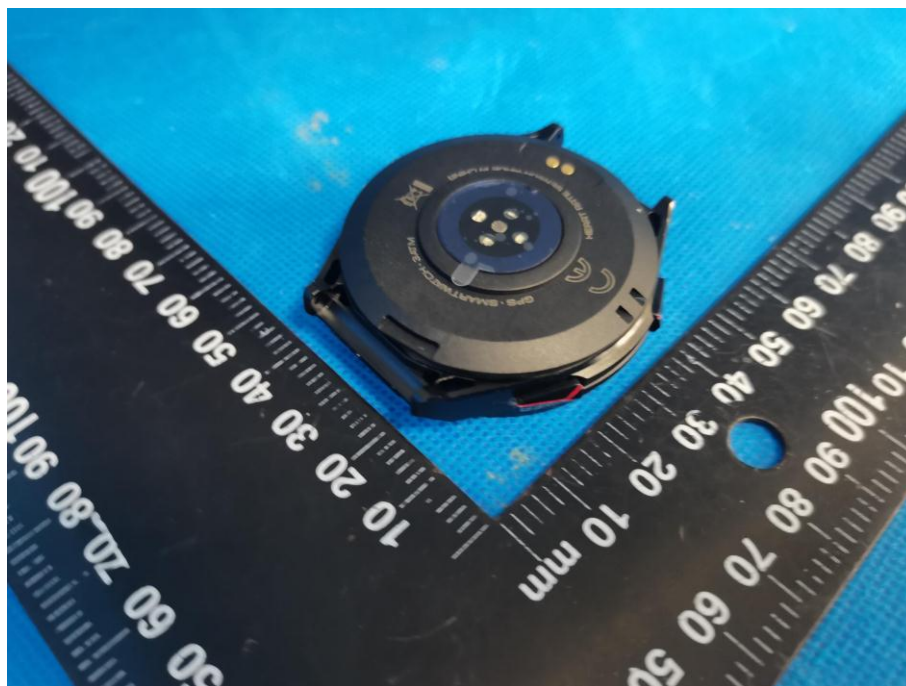


**EUT PHOTO 4**





EUT PHOTO 5

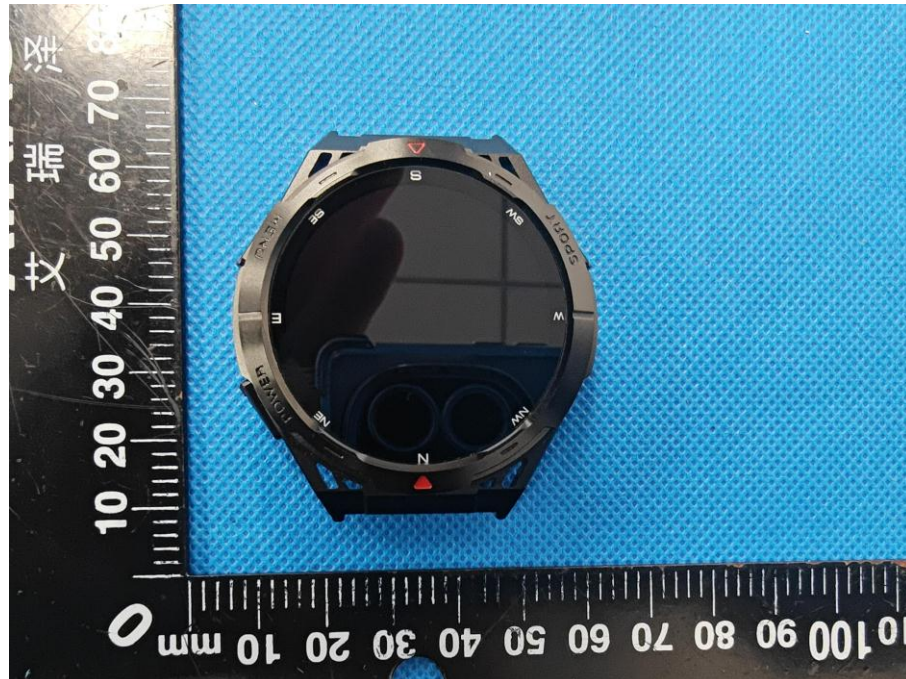


EUT PHOTO 6



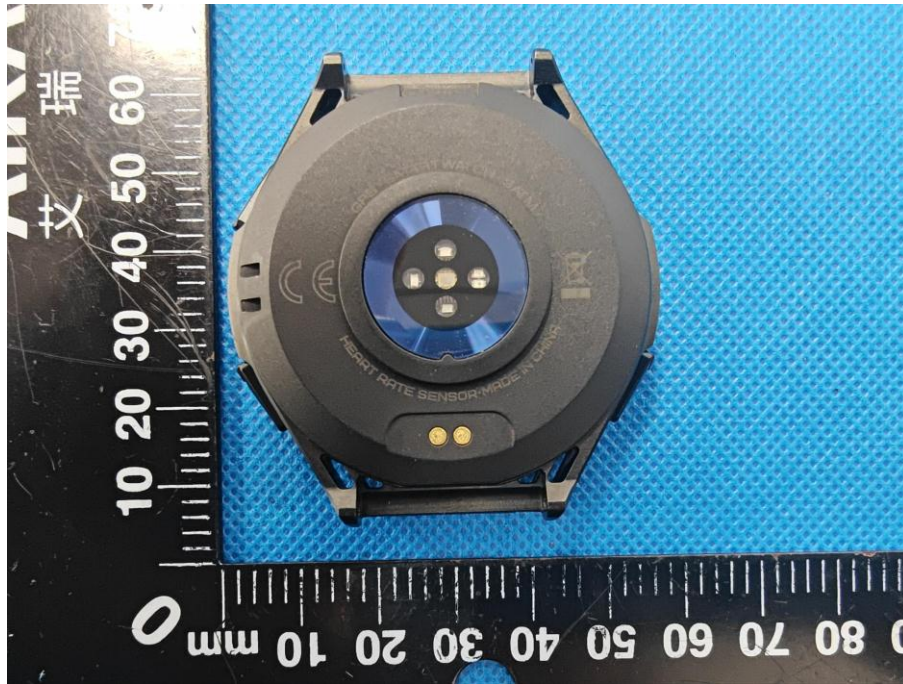


**EUT PHOTO 7**

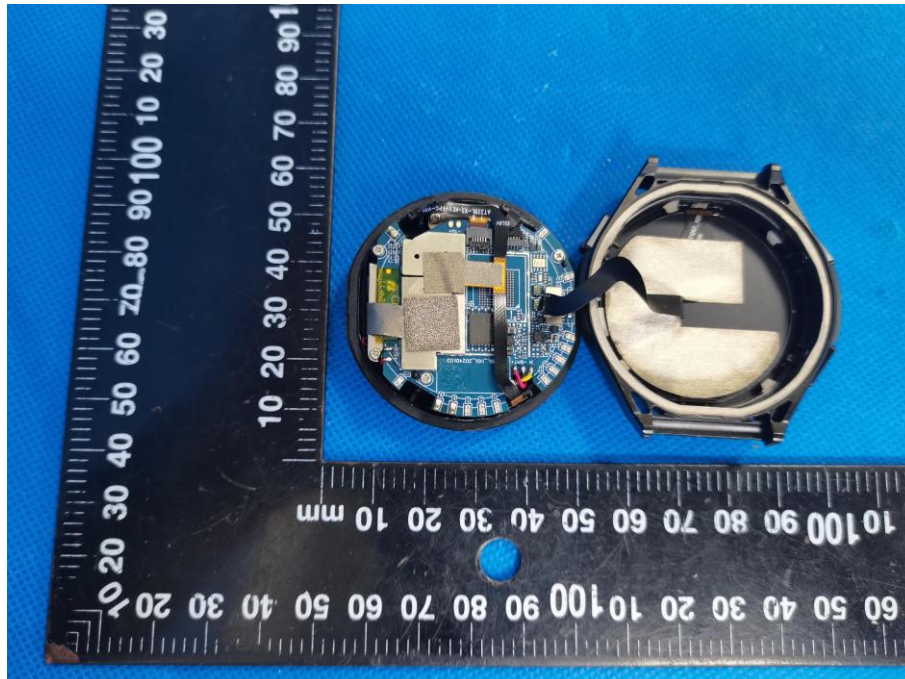
**EUT PHOTO 8**




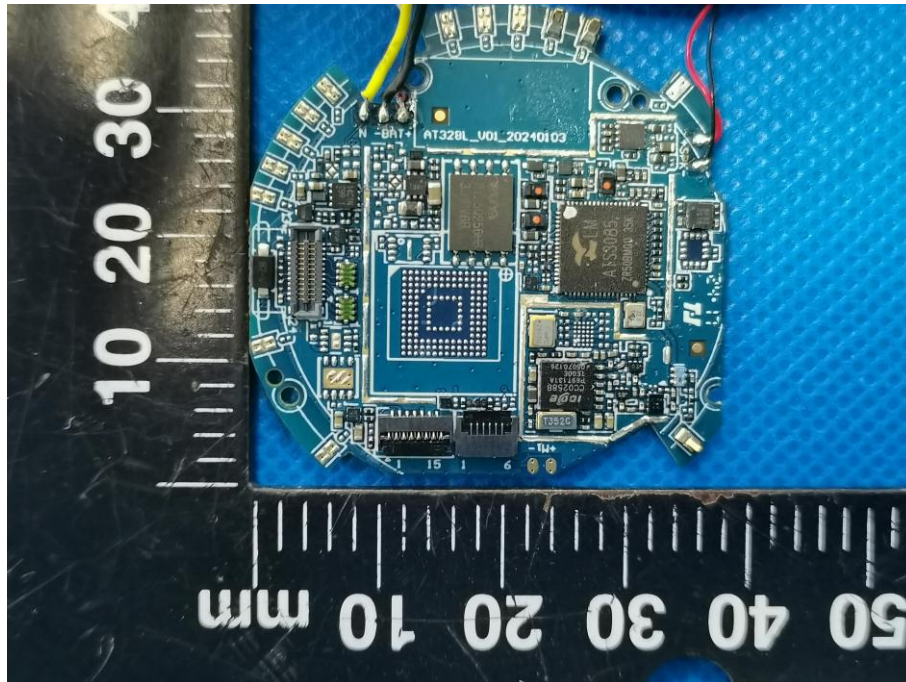
EUT PHOTO 9



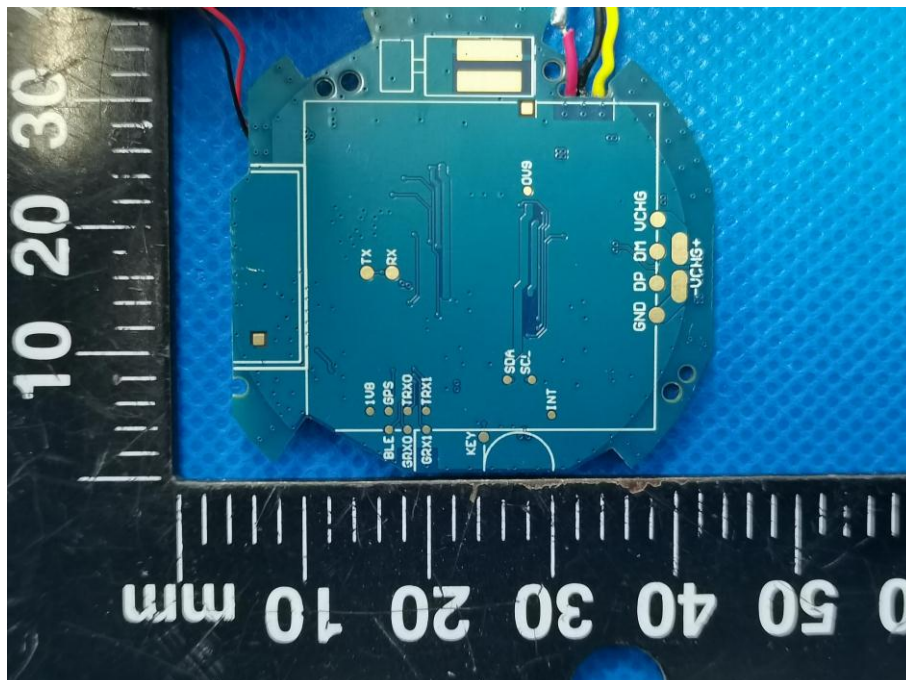
EUT PHOTO 10



EUT PHOTO 11

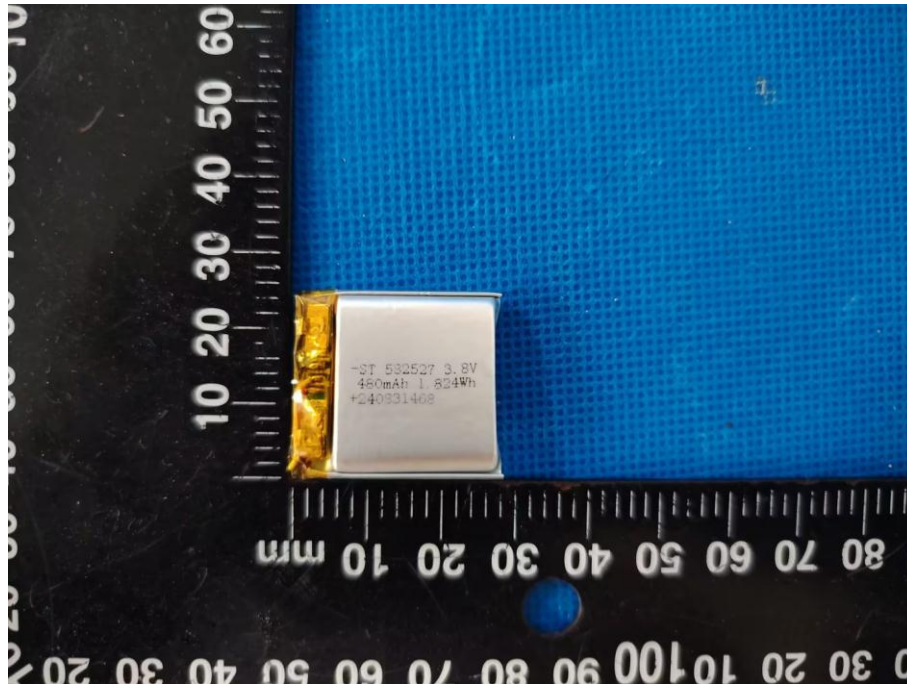


EUT PHOTO 12





EUT PHOTO 13



## STATEMENT

1. The equipment lists are traceable to the national reference standards.
2. The test report can not be partially copied unless prior written approval is issued from our lab.
3. The test report is invalid without the "special seal for inspection and testing".
4. The test report is invalid without the signature of the approver.
5. The test process and test result is only related to the Unit Under Test.
6. Sample information is provided by the client and the laboratory is not responsible for its authenticity.
7. The quality system of our laboratory is in accordance with ISO/IEC17025.
8. If there is any objection to this test report, the client should inform issuing laboratory within 15 days from the date of receiving test report.

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※※※※※ END ※※※※※